

Subject: RE: Publication Review

Yes. This is in my personal capacity.

I also sent it to Neal for his review.

FYI

Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6)

(c) (b)(6)

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From: Lacivita, Brendan

Sent: Monday, May 11, 2015 2:05 PM

To: Davidson, Michael; Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Williams, Helen; Henderson, Roy; Hackley, Lashelle

Subject: RE: Publication Review

Hi Mike – I'm assuming this was written in your personal capacity? Has anyone in Gen Law reviewed the article?

Thanks –

Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Davidson, Michael

Sent: Monday, May 11, 2015 1:17 PM

To: Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Lacivita, Brendan; Williams, Helen; Henderson, Roy; Hackley, Lashelle

Subject: Publication Review

Attached is an article that I intend to submit for publication, without compensation. The article discusses 31 U.S.C. § 1558, a statute that extends the availability of funds during a protest. Tentatively I intend to seek publication in Contract Management Magazine, a monthly publication of the National Contract Management Association, or a similar professional publication. All material is from publicly available sources.

Attached is my proposed bio. I will request a disclaimer stating that the views expressed in the article are my own do not necessarily represent the views of the agency or the United States.

Your timely review is appreciated.

Please let me know if you have any questions

Thank you
Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Montgomery, Brandon A
Sent: Monday, August 11, 2014 11:25 AM
To: Davidson, Michael; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: Sawyer, Randolph W
Subject: RE: Publication Review

Green light to proceed, per (b)(6)
Brandon

From: Davidson, Michael
Sent: Monday, August 11, 2014 9:44 AM
To: Montgomery, Brandon A; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: (b)(6)
Subject: Publication Review
Importance: High

Attached is an article that (b)(6) from ICE and I intend to submit for publication, without compensation. The article discusses the use of suspension and debarment as a tool to combat human trafficking. Tentatively we intend to seek publication in The Procurement Lawyer, a periodic publication of the American Bar Association's Public Contract Law section, or a similar professional publication. All footnoted material is from publicly available sources. The number of ICE actions (page 8) was gathered by (b)(6). Your timely review is appreciated.

Please let us know if you have any questions

Please acknowledge receipt. If there is someone else in PAO we should be contacting, please let us know

Thank you

Mike Davidson

Michael J. Davidson

Assistant General Counsel for Appropriation and Fiscal Law

Office of the General Counsel, General Law

U.S. Department of Homeland Security

(w) (b)(6)

(c) (b)(6)

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From: Lacivita, Brendan
To: (b)(6)
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:22:02 PM
Attachments: RE Publication Review.msg

Final clearance for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Davidson, Michael
Sent: Monday, July 20, 2015 2:27 PM
To: Lacivita, Brendan (b)(6) Williams, Helen
(b)(6)
Subject: RE: Publication Review

Yes, see attached

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Lacivita, Brendan
Sent: Monday, July 20, 2015 2:24 PM
To: Davidson, Michael; Williams, Helen
Subject: RE: Publication Review

Mike – was this ever cleared?

Brendan I. LaCivita

Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
[redacted] [redacted]
www.DHS.gov

From: Davidson, Michael
Sent: Monday, May 11, 2015 6:20 PM
To: Lacivita, Brendan; Williams, Helen
Subject: FW: Publication Review

FYI

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) [redacted]
(c) [redacted]

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From: Heller, Susan
Sent: Monday, May 11, 2015 6:18 PM
To: Davidson, Michael
Cc: Swartz, Neal
Subject: FW: Publication Review

Mike there is no ethics objection to the attached article or bio and disclaimer. The disclaimer is required when your government position is one of your biographical details. Please note that you cannot permit the publisher to give your DHS title or position any more prominence than the other details of your bio, nor can they use your DHS title and position to express or imply government endorsement. You may accept reprints of the publication containing your article.

Please let me know if you have any questions.
Susan

Susan Heller
Associate General Counsel for Ethics
Ethics Division, OGC
Voice: [redacted] [redacted]

NAC, Bldg. 1, (b)(6)
245 Murray Lane, SW, MS 0485
Washington, DC 20528-0485

Confidentiality Notice and Warning

The above communication and attachments are covered by Federal and state laws and regulations governing electronic communication. The communication and attachments may contain confidential or privacy protected information that is legally privileged or operationally sensitive and remains the property of the United States Government. If you are not an addressee or it is apparent that you have received this communication in error, you are hereby notified that any dissemination, distribution, copying, or other use of this message is strictly prohibited. Regardless of how you received the information contained in this communication and accompanying attachments, any use by you must be for official purposes only and misuse may subject you to Federal prosecution. If you have received this communication in error, you should immediately notify the sender of this circumstance and delete or destroy this communication and all attachments.

From: Davidson, Michael
Sent: Monday, May 11, 2015 1:17 PM
To: Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Lacivita, Brendan; Williams, Helen; Henderson, Roy; Hackley, Lashelle
Subject: Publication Review

Attached is an article that I intend to submit for publication, without compensation. The article discusses 31 U.S.C. § 1558, a statute that extends the availability of funds during a protest. Tentatively I intend to seek publication in Contract Management Magazine, a monthly publication of the National Contract Management Association, or a similar professional publication. All material is from publicly available sources.

Attached is my proposed bio. I will request a disclaimer stating that the views expressed in the article are my own do not necessarily represent the views of the agency or the United States.

Your timely review is appreciated.

Please let me know if you have any questions

Thank you
Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6)
(c) (b)(6)

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From: Montgomery, Brandon A
Sent: Monday, August 11, 2014 11:25 AM
To: Davidson, Michael; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: (b)(6)
Subject: RE: Publication Review

Green light to proceed, per (b)(6)
Brandon

From: Davidson, Michael
Sent: Monday, August 11, 2014 9:44 AM
To: Montgomery, Brandon A; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: (b)(6)
Subject: Publication Review
Importance: High

Attached is an article that (b)(6) from ICE and I intend to submit for publication, without compensation. The article discusses the use of suspension and debarment as a tool to combat human trafficking. Tentatively we intend to seek publication in The Procurement Lawyer, a periodic publication of the American Bar Association's Public Contract Law section, or a similar professional publication. All footnoted material is from publicly available sources. The number of ICE actions (page 8) was gathered by (b)(6). Your timely review is appreciated.

Please let us know if you have any questions

Please acknowledge receipt. If there is someone else in PAO we should be contacting, please let us know

Thank you

Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6)
(c) (b)(6)

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~~message is strictly prohibited. If you received this message in error, please reply immediately to the sender and delete this message~~

From: Williams, Helen
To: Davidson, Michael
Cc: (b)(6)
Subject: RE: Publication Review
Date: Wednesday, May 27, 2015 8:19:39 AM

Good Morning Michael

It appears that OPA and I&A have cleared your pre-publication review documents.

R/
Helen Williams
Branch Chief-MGMT Security Support
Special Security Programs Division
Office of the Chief Security Officer
US Department of Homeland Security

(b)(6) (Unclassified)
(b)(6) (b)(6) JWICS)
Desk (b)(6) 447-4091

"Faith is the assurance of things hoped for, the conviction of things not seen" (Hebrews 11:1-2)

~~WARNING: This email may contain FOR OFFICIAL USE ONLY (FOUO) OR PRIVACY DATA. It may contain information exempt from public release under the Freedom of Information Act (5 U.S.C. 552). The information contained herein must be controlled, stored, handled, transmitted, distributed, and disposed of in accordance with DHS policy relating to FOUO/PII information and is not to be released to the public or other personnel who do not have a valid "need-to-know" without prior approval of an authorized DHS official.~~

From: Davidson, Michael
Sent: Thursday, May 21, 2015 5:05 PM
To: Williams, Helen
Subject: RE: Publication Review

Ok, thank you

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6)
(c) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you received this message in error, please reply immediately to the sender and delete this message

From: Williams, Helen
Sent: Thursday, May 21, 2015 4:35 PM
To: Davidson, Michael
Subject: Re: Publication Review

Michael

I received a reply from I&A waiting on one additional. Helen

From: Davidson, Michael
Sent: Thursday, May 21, 2015 04:30 PM Eastern Standard Time
To: Williams, Helen
Subject: RE: Publication Review

Thanks Helen

Is there a projected completion date?

Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Williams, Helen
Sent: Thursday, May 21, 2015 10:52 AM
To: Davidson, Michael
Subject: Re: Publication Review

Good Morning Michael

It's pending waiting on a reply. Helen

From: Davidson, Michael
Sent: Thursday, May 21, 2015 09:22 AM Eastern Standard Time
To: Williams, Helen
Subject: RE: Publication Review

Hi Helen
Just following up.
Thx
Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6)
(c) (b)(6)

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From: Williams, Helen
Sent: Tuesday, May 12, 2015 9:18 AM
To: Davidson, Michael
Cc: Swartz, Neal; Lacivita, Brendan; (b)(6)
Subject: FW: Publication Review

Good Morning Michael

The attached documents will be forward to OCSO/SSPD and IA for review.

R/

Helen Williams
Branch Chief-MGMT Security Support
Special Security Programs Division
Office of the Chief Security Officer
US Department of Homeland Security

(b)(6) (Unclassified)
(b)(6) (JWICS)
Desk (b)(6) (b)(6)

"Faith is the assurance of things hoped for, the conviction of things not seen" (Hebrews 11:1-2)

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From: Davidson, Michael
Sent: Monday, May 11, 2015 6:20 PM
To: Lacivita, Brendan; Williams, Helen
Subject: FW: Publication Review

FYI

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6) _____
(c) (b)(6) _____

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From: Heller, Susan
Sent: Monday, May 11, 2015 6:18 PM
To: Davidson, Michael
Cc: Swartz, Neal
Subject: FW: Publication Review

Mike there is no ethics objection to the attached article or bio and disclaimer. The disclaimer is required when your government position is one of your biographical details. Please note that you cannot permit the publisher to give your DHS title or position any more prominence than the other details of your bio, nor can they use your DHS title and position to express or imply government endorsement. You may accept reprints of the publication containing your article.

Please let me know if you have any questions.

Susan

Susan Heller
Associate General Counsel for Ethics
Ethics Division, OGC

Voice: (b) (6)

NAC, Bldg. 1 (b) (6)
245 Murray Lane, SW, MS 0485
Washington, DC 20528-0485

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Sent: Monday, May 11, 2015 1:17 PM
To: Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Lacivita, Brendan; Williams, Helen; (b) (6)
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Thank you
Mike Davidson

Michael J. Davidson
 Assistant General Counsel for Appropriation and Fiscal Law
 Office of the General Counsel, General Law
 U.S. Department of Homeland Security

(w) (b)(6)
 (c) (b)(6)

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From: Montgomery, Brandon A
Sent: Monday, August 11, 2014 11:25 AM
To: Davidson, Michael; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: (b)(6)
Subject: RE: Publication Review

Green light to proceed, per Gillian.
 Brandon

From: Davidson, Michael
Sent: Monday, August 11, 2014 9:44 AM
To: Montgomery, Brandon A; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: (b)(6)
Subject: Publication Review
Importance: High

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Thank you

Mike Davidson

Michael J. Davidson
 Assistant General Counsel for Appropriation and Fiscal Law
 Office of the General Counsel, General Law

U.S. Department of Homeland Security

(w) (b)(6)

(c) (b)(6)

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From: Lacivita, Brendan
To: (b)(6)
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:23:45 PM
Attachments: HOMELAND SECURITY AS A THEORY OF ACTION MH 4-22-15 (FINAL Clean).docx

Final clearance for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, July 27, 2015 2:19 PM
To: Huston, Michael (b)(6)
Subject: FW: HOMELAND SECURITY AS A THEORY OF ACTION MH 4-22-15 (FINAL Clean).docx

Hi Mike – the attached is good to go with one edit (see below).

Apologies for the delay.

Thanks -
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Monday, July 20, 2015 11:10 AM
To: Lacivita, Brendan (b)(6)
Subject: HOMELAND SECURITY AS A THEORY OF ACTION MH 4-22-15 (FINAL Clean).docx

Brendan
Here is a revised final; I have 1 track change on the 1st page, FN 1.

Chip Boucher
Assistant General Counsel, Administrative Law Branch

General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6); Cell: (b)(6) (b)(6)

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From: Lacivita, Brendan
To: (b)(6)
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:26:59 PM

Another email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, July 20, 2015 2:31 PM
To: (b)(7)(C) (b)(7)(C) (b)(6)
Subject: RE: Article for Review

No problem (b)(7)(C) – thanks

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Monday, July 20, 2015 2:17 PM
To: Lacivita, Brendan
Subject: Article for Review

Brendan – regret delay in responding to your request - and I have no issues with subject article.
Thanks in advance...

v/r

//DJ//

From: Lacivita, Brendan
Sent: Monday, July 20, 2015 2:14 PM
To: (b)(7)(C) (b)(7)(C) Ackiss, Scott; (b)(7) (b)(7)(C)
Subject: RE: Article for Review
Importance: High

Gentlemen – I need to wrap up this review. I just heard back from OGC. Do any of you have any concerns with this article?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: DHS Speakers' Bureau
Sent: Wednesday, May 27, 2015 10:51 AM
To: (b)(7)(C) (b)(7)(I) Ackiss, Scott; Boucher, Leo; (b)(7) (b)(7)(C)
Subject: Article for Review

Gentlemen – attached for your review is an article on security at the Mexican border written by two DHS PLCY employees in their personal capacity. I am requesting your review for any inadvertent release of sensitive/proprietary/FOUO information.

The article will publish this summer by the Wilson Center.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan
To: (b)(6)
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:25:28 PM

Email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, July 27, 2015 2:12 PM
To: (b)(7) (b)(7)(C) (b)(6)
Subject: RE: Article for Review

Ok great – thanks (b)(6)

Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(7) (b)(7)(C)
Sent: Monday, July 27, 2015 1:54 PM
To: Lacivita, Brendan (b)(6)
Subject: RE: Article for Review

Brendan,

No concerns. I&A clears for publication.

r/
(b)(6)

From: Lacivita, Brendan
Sent: Monday, July 27, 2015 12:33 PM
To: (b)(7) (b)(7)(C)

Subject: FW: Article for Review

(b)(6) - here you go.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: DHS Speakers' Bureau

Sent: Wednesday, May 27, 2015 10:51 AM

To: (b)(7)(C) (b)(7)(C) (b)(7)(C) Ackiss, Scott (b)(6) (b)(6); Boucher, Leo (b)(6) (b)(6) (b)(7) (b)(7)(C) (b)(7)(C)

Subject: Article for Review

Gentlemen – attached for your review is an article on security at the Mexican border written by two DHS PLCY employees in their personal capacity. I am requesting your review for any inadvertent release of sensitive/proprietary/FOUO information.

The article will publish this summer by the Wilson Center.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: (b)(6)
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:42:17 PM

Email thread for DHS employee Rob Roller

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Tuesday, July 7, 2015 3:54 PM
To: Roller, Robert (b)(6)
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Bob – OPA clears.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Roller, Robert
Sent: Tuesday, July 07, 2015 3:22 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Excellent. Thank you kindly.

From: Lacivita, Brendan
Sent: Tuesday, July 07, 2015 3:22 PM
To: Roller, Robert
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Ok – that's the distinction I needed. Because it was written on behalf of DHS several sub-components do not need to review.

Let me see who your OPA liaison is and I'll get it to them for review.

Thanks -

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
[redacted] [redacted]
www.DHS.gov

From: Roller, Robert
Sent: Tuesday, July 07, 2015 3:18 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Brendan,

I wrote it in a professional capacity. The intent of the paper is to memorialize the best practices identified by our planning team over the past several years.

From: Lacivita, Brendan
Sent: Tuesday, July 07, 2015 3:11 PM
To: Roller, Robert
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Bob – did you write it in a personal or professional capacity?

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
[redacted] [redacted]
www.DHS.gov

From: Roller, Robert
Sent: Tuesday, July 07, 2015 10:36 AM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Brendan,

I returned to the US yesterday, and I wanted to follow up on the infectious disease essay.

When you have time, can you let me know what I should do to speed its clearance for publication?

Thanks in advance,
Bob

From: Roller, Robert
Sent: Tuesday, June 23, 2015 9:58 AM
To: Lacivita, Brendan
Subject: Re: Pre-Publication Review Requested for Infectious Disease Essay

Brendan,

I'm TDY, and my comms are terrible here -- sorry for the delay.

I intend to submit the paper to the Naval Postgraduate School. There is no critical timeline. Chris is the most senior person to review the paper thus far.

What can I do to speed its publication?

-Bob

Robert J. Roller
Section Chief
Strategy, Plans, Analysis and Risk / Plans
Office of Policy
BB: (b)(6)

From: Lacivita, Brendan
Sent: Friday, June 12, 2015 08:40 PM
To: Roller, Robert
Subject: FW: Pre-Publication Review Requested for Infectious Disease Essay

Hi Rob – was this article written in a professional or personal capacity? Where is it publishing, and what's the timeframe? Who else in PLCY has reviewed/cleared besides Christian?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Roller, Robert
Sent: Monday, June 08, 2015 3:23 PM
To: Heath, Stanley
Cc: Pais, Anthony; Abbott, Christian

Subject: Pre-Publication Review Requested for Infectious Disease Essay

Stan,

The last item on my to-do list before departing for Germany is to start the coordination process for an infectious disease article I wrote for publication, and I'd like your help if possible.

When we were part of OPS, I was used the attached OPS publications guidelines to get two articles published. PLCY does not have written guidelines, but I intend to adapt the OPS guidelines for use. This includes review by OPA.

Can you or someone else in your office review the attached paper for publication?

Thanks in advance,

Bob

Robert J. Roller

Section Chief

Strategy, Plans, Analysis and Risk/Plans

Office of Policy

US Department of Homeland Security

W: (b)(6) [redacted]

BB: (b)(6) [redacted]

(b)(6) [redacted] (b)(6) [redacted]

From: Abbott, Christian

Sent: Monday, June 08, 2015 2:37 PM

To: Roller, Robert; Pais, Anthony

Subject: RE: Infectious Disease Essay

HB,

1. Has OPA had a chance to review it? If I understand SOP, they need to bless any document that is going outside DHS for public consumption.
2. Consider this approved from me, and get it to OPA (if you have already done that and have gotten OPA approval, then send it forward for publication).
3. Only thing I saw is you have mixed fonts between the narrative and the table (Times Roman & Arial). Other than that, the article is good to go from my perspective.

v/r

Christian A. Abbott
Principal Director
Strategy, Plans, Analysis, and Risk/Plans
Office of Policy
Department of Homeland Security

(b)(6) (desk)
(b)(6) (mobile)

(b)(6) (b)(6)

Page 177

Withheld pursuant to exemption

(b)(5)

of the Freedom of Information and Privacy Act

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of the Freedom of Information and Privacy Act

From: Lacivita, Brendan
To: (b)(6);(b)(7)(C)
Subject: RE: Office of Security Inquiry
Date: Thursday, December 20, 2012 2:57:00 PM

(b)(6) – that is fine. We will proceed with the hard-copy review, but please note this review will take a bit longer due to the related logistics.

I'll keep you posted on the progress.

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security
 (b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Tuesday, December 18, 2012 11:04 PM
To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan,
 I'm nervous about sending an electronic copy. After all, this is intellectual property that I will shortly be in the business of selling. I know how easily electronic files can be forwarded, they are backed up to the multiple locations, etc. At least with a hard copy someone has to go through the trouble of scanning it for copies to proliferate.

Is DHS prepared to accept responsibility for control of the file in perpetuity if I were to send it? There would be substantial liability if the file were misplaced. After all, you have the hardcopy and you can see we're talking about a math textbook. It's hard for me to see the value for DHS in accepting that liability in exchange for just the benefit of having an electronic copy that is easier to pass around.

What if I just passed you an electronic copy of the table of contents? Then if the electronic file were somehow misplaced, there wouldn't be any harm done.

I'm sorry to be paranoid, but I have invested many years of effort into this product and I need to be sure that I am protected.

Thanks,

(b)(6)

On Tue, Dec 18, 2012 at 12:12 PM, Lacivita, Brendan (b)(6) wrote:
 (b)(6) – I've placed the hard-copy manuscript in the review process but really need an electronic copy so we can expedite review. Can you please send me that by tomorrow morning?

Thanks –

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(6);(b)(7)(C)

Sent: Tuesday, December 11, 2012 8:34 PM

To: Lacivita, Brendan

Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John
Subject: Re: Office of Security Inquiry

Ok, I FedEx'd it tonight. It should arrive at the mail center Thursday morning. Please let me know when it has made its way to you.

Thanks,

(b)(6);(b)(7)
(C)

On Tue, Dec 11, 2012 at 9:30 AM, Lacivita, Brendan (b)(6) wrote:
Hi (b)(6) – you can send it to me – (b)(6) Washington, DC 20528.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(6);(b)(7)(C)

Sent: Sunday, December 09, 2012 10:57 AM

To: Lacivita, Brendan

Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John

Subject: Re: Office of Security Inquiry

I would much prefer to send a physical copy. Who and where should I address it to?

Thanks,

(b)(6)

On Fri, Dec 7, 2012 at 10:06 AM, Lacivita, Brendan (b)(6) wrote:
Hi (b)(6) – is there any way to email the manuscript?

(b)(6) – It can take weeks for my office to receive a mailed package. Could it be mailed to your office instead? I can take the lead in its review once you receive it.

Let us know –

Thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Thursday, December 06, 2012 8:21 PM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C)

Subject: Re: Office of Security Inquiry

Ok, I'm happy to send it there. Can you send me the direct email address for (b)(6) so I can work out with her how to transfer the manuscript?

Thanks,

(b)(6)

On Mon, Dec 3, 2012 at 4:55 PM, Lacivita, Brendan (b)(6) wrote:
Send it to (b)(6) in OCSO

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Monday, December 03, 2012 4:25 PM
To: Lacivita, Brendan
Cc: Office of Security

Subject: Re: Office of Security Inquiry

Ok, the manuscript is ready for security review. Where should I send it?

Thanks,

(b)(6)

On Tue, Nov 6, 2012 at 1:57 PM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – just continue working with Michelle in OCSO (copied). She can provide an appropriate

mailing address, etc., and will coordinate review with the appropriate DHS components.

Thanks –

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(6);(b)(7)(C)

Sent: Tuesday, November 06, 2012 11:24 AM

To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan, I am still working on the manuscript and will be submitting a draft to my publisher in early December. I anticipate submitting it for DHS's security review around that time. If you could let me know where to send it, that would be helpful.

Thanks,

(b)(6)

On Mon, Nov 5, 2012 at 10:35 AM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – any update on this? Did OCSO review/clear?

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6)
Sent: Monday, October 08, 2012 8:55 PM
To: Lacivita, Brendan

Subject: Re: Office of Security Inquiry

Hi Brendan,

Can you explain why OPA is involved in this process? I am not currently employed by DHS, so the only review required is by security. Plus, this is in regard to a math textbook.

Thanks,

(b)(6)

On Fri, Oct 5, 2012 at 2:01 PM, Lacivita, Brendan [(b)(6)] wrote:
[(b)(6)] just communicate directly with me.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
[(b)(6)] [(b)(6)]
www.DHS.gov

From: Office of Security
Sent: Friday, October 05, 2012 1:15 PM
To: [(b)(6)] [(b)(6)]
Cc: Office of Security; Lacivita, Brendan; [(b)(6)]

Subject: RE: Office of Security Inquiry

[(b)(6)]
Good Afternoon [(b)(6)]

Our apologizes, we have been contacted by Office of Public Affairs (OPA) and they notified us that the previous information given was incorrect. You will need to contact the OPA (contact information is below); the book draft does require an OPA review who will in turn coordinate within the Department to get the review process started.

Phone number: [(b)(6)]

E-mail address: publicaffairs@hq.dhs.gov

Please let us know if there are any questions in relation to this.

Thank you for your patience in this matter,

[(b)(6)]

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: [(b)(6)] [(b)(6)]
[(b)(6)]

From: Office of Security
Sent: Thursday, October 04, 2012 11:25 AM
To: [(b)(6)] [(b)(6)]
Cc: Office of Security
Subject: RE: Office of Security Inquiry

Good Morning [(b)(6)]

We have forwarded your message to the Office of General Counsel (OGC). In the past, this type of information was received by Public Affairs since they dealt with public publications that may have an impact on DHS as an agency. However, when Public Affairs was contacted they informed us to

textbook I am publishing. I have not yet received a response. Please advise on how I should proceed.

Thanks very much,

(b)(6)

Hello,

I am a recently separated DHS employee; my last day of employment was July 14, 2012. While I was employed by DHS, I signed a contract to publish an introductory textbook on analytics. Analytics is a subject I was an expert in before I was hired, and none of the content of the book pertains to homeland security, so the ethics office cleared my signing the contract.

The book will be finished in January of 2013. While employed I held a (b)(6) clearance, so I recognize that I have an obligation to submit the manuscript for pre-publication review, even though there is no homeland security content in the book.

What is the procedure for submitting the manuscript for review? How long does review typically take?

Please call me if you have any questions at (b)(6)

Thanks for your help!

(b)(6)

On Sun, Sep 16, 2012 at 9:21 PM, Office of Security (b)(6) wrote:
 Thank you for contacting the Office of the Chief Security Officer (OCSO) Customer Service Center. Your e-mail submission has been received. Please allow two business days for a response. For time-sensitive requests, please contact us at (b)(6)
 Our core hours of operation are 8:00 a.m. to 4:00 p.m., Monday through Friday (except Federal holidays). For your convenience, you may visit us at our 7th & D St. location in (b)(6) DHS Employees and on-site contractors may visit the OCSO [Intranet Site](#) for answers to common security-related questions.

If your email concerns a matter described below, please take the action indicated:

- Life Threatening Emergencies: Call 911; then notify the Federal Protective Service (FPS) at (b)(6)
- Non-Life Threatening Emergencies: Call the Federal Protective Service (FPS) at (b)(6)
- SCI related inquiries: Contact your Special Security Officer (SSO).
- Nebraska Avenue Complex security-related emergencies and suspicious activity: Contact the NAC Security Desk at (b)(6)
- To report Suspicious Activities: Send an e-mail to suspicious.activity@dhs.gov and/or call our phone number at (b)(6)

Thank you for allowing us the opportunity to assist you.

Security Customer Service Center

Office of the Chief Security Officer

US Department of Homeland Security, Headquarters

Office: (b)(6)

Fax: (b)(6)

(b)(6)

contact OGC in relation to this.

Please let us know if there are any questions in relation to this.

Thank you,

(b)(6)

Security Customer Service Center

Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
(b)(6)

From: (b)(6) (b)(6)
Sent: Wednesday, October 03, 2012 9:21 PM
To: Office of Security
Subject: Re: Office of Security Inquiry

Hi (b)(6)

I'm not sure I understand. I'm not currently employed by DHS, so there is no need for a review by public affairs. The only reviews required are by security and ethics, and the ethics review already took place in January. Why does the book need to go to public affairs?

Thanks,

(b)(6)

On Tue, Oct 2, 2012 at 8:49 AM, Office of Security (b)(6) wrote:
Good Morning (b)(6)

Please contact the DHS Office of Public Affairs in relation to this. We have the below contact information for them.

Phone number: (b)(6)

E-mail address: publicaffairs@hq.dhs.gov

Thank you,

(b)(6)

Security Customer Service Center

Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
Fax: (b)(6)
(b)(6)

From: (b)(6)
Sent: Monday, October 01, 2012 8:50 PM
To: Office of Security
Subject: Re: Office of Security Inquiry

Hello,

On September 16, I sent the email below requesting assistance with regard to pre-publication review of a

From: Boucher, Leo
To: Lacivita, Brendan; Waters, Mike; Lott, Thomas; Young, John; (b)(6) (b)(7)(C)
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Date: Tuesday, November 12, 2013 7:52:24 AM

Ad law reviewed and has no comments.

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6), Cell: (b)(6) (b)(6)

~~This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the message. Thank you.~~

From: Lacivita, Brendan
Sent: Thursday, October 24, 2013 2:49 PM
To: Waters, Mike; Lott, Thomas; Young, John; (b)(7) (b)(7)(C) Boucher, Leo
Subject: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Gentlemen - attached for your review is an unclassified draft chapter for a book in which Dan Gerstein from S/T contributed. Dan's contributions were done in a **personal** capacity so please review from the appropriate context. Further details about the book are provided in the email thread below.

Mike - S/T would like to know if it's OK for Dan to use his DHS title in the author credits.

Thanks -
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: Abbott, Catherine
Sent: Friday, October 18, 2013 9:48 AM
To: Lacivita, Brendan

Subject: FW: Dan's Textbook Chapter (UNCLASSIFIED)

Brendan,

I had sent this over before the shut down, but I'm afraid it might have gotten lost in the shuffle.
Will you please give me a call when you have a moment. Thanks. Cathy

Catherine Ellen Abbott

Director, Office of Corporate Communications DHS Science and Technology Directorate

W: (b)(6) BB (b)(6)

-----Original Message-----

From: (b)(6)

Sent: Thursday, September 26, 2013 11:25 AM

To: Abbott, Catherine

Subject: Dan's Textbook Chapter (UNCLASSIFIED)

Hey Cathy,

From an OPA perspective, can you take a look at the attached and let me know if there is any issue with Dan listing his DHS S&T title as the one of the authors for Chapter 1 of the book titled Medical Aspects of Biological Warfare 2nd Edition (or any issues period). Chapter 1 is attached.

I have filled out the attached pages 15-20 in the PDF AU Guide for (b)(6) and just need his signature before he submits the attached paperwork, but want to be sure we have all the correct information and are following protocol.

Many thanks!

(b)(6)

Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department of Homeland Security

(b)(6)

(b)(6)

-----Original Message-----

From: Gerstein, Daniel

Sent: Wednesday, September 25, 2013 7:29 AM

To: (b)(6)

Subject: FW: Textbook Chapter (UNCLASSIFIED)

Dan

Daniel M. Gerstein, PhD
Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528

W: (b)(6)

C: (b)(6)

-----Original Message-----

From: (b)(7)(C) (b)(6) CIV USARMY DOD JPEOCBD (US)
(b)(7)(C)

Sent: Tuesday, September 24, 2013 1:39 PM

To: Gerstein, Daniel

Subject: RE: Textbook Chapter (UNCLASSIFIED)

Classification: UNCLASSIFIED

Caveats: NONE

(b)(6)

Attached is the current draft that's going to JPEO for security review/clearance. Please return pdf file of completed/signed author's forms (p 15-20 of attached document). Thank you again for your key contributions!

V/R,

(b)(6)

(b)(7)(C) MD

Chief Medical Officer
Medical Countermeasure Systems (MCS)

(b)(6)

Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: [REDACTED] V USARMY DOD JPEOCBD (US)
Sent: Tuesday, September 17, 2013 10:29 AM
To: 'Gerstein, Daniel'
Subject: RE: Textbook Chapter

Dan,

At this juncture, we're good to go. Thank you for your offer-let's wait until after the coordinations and reviews are done. I sincerely appreciate your participation.

Best regards,

[REDACTED]
(b)(6)

-----Original Message-----

From: Gerstein, Daniel [mailto:[REDACTED]]
(b)(6) [REDACTED]
Sent: Tuesday, September 17, 2013 10:21 AM
To: [REDACTED] CIV USARMY DOD JPEOCBD (US); [REDACTED]
(b)(6)
Subject: Re: Textbook Chapter

So do you need any more from me in terms of writing?

----- Original Message -----

From: [REDACTED] V CIV USARMY DOD JPEOCBD (US)
[REDACTED]
(b)(7)(C)
Sent: Tuesday, September 17, 2013 10:07 AM
To: Daniel Gerstein [REDACTED]
(b)(6)
Cc: Gerstein, Daniel
Subject: RE: Textbook Chapter

Dan,

[REDACTED] (b)(7)(C) has sent in his additions, and [REDACTED] has promised his additions by the end of this week-I've been through the draft again, made some changes, and am very pleased by how it reads. I think that the issues raised by biotechnology are addressed in the discussion of DURC.

Will send out the final draft to you [REDACTED] (b)(6) and [REDACTED] early next week, and to MCS

and JPEO for coordination and security review; after that it will go to USAMRIID for two external reviews and final edits...there will be opportunities for further revision.

Thank you for participating! The inclusion of info on US program, BWC and policy items has vastly improved the quality of this chapter.

Best regards,

(b)(6)

(b)(7)(C) MD

Chief Medical Officer
Medical Countermeasure Systems (MCS)

(b)(6)

Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein [mailto:(b)(6)] (b)(6)
Sent: Monday, September 02, 2013 7:29 AM
To: (b)(7)(C) CIV USARMY DOD JPEOCBD (US); Dan Gerstlein
Subject: Textbook Chapter

(b)(6)

See attached ...

Feels like we need to look at pulling out some of the detail. Also, not sure that the stuff at the end has been edited.

Are there others areas you want me to look at? I did not add anything on biotechnology although I was tempted.

Dan

Dr. Daniel M. Gerstein

From: Lacivita, Brendan
To: (b)(6)
Subject: RE: Office of Security Inquiry
Date: Thursday, December 20, 2012 3:43:00 PM

Ok – thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Thursday, December 20, 2012 3:28 PM
To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan, I worked in DHS/NPPD/RMA, which no longer exists. The SES who ran the office was (b)(6) and my supervisor was (b)(6). They both still work at DHS. Steve would be a great person to review the manuscript since he would also understand the technical content.

Thanks,
(b)(6)

Sent from my iPhone

On Dec 20, 2012, at 3:23 PM, "Lacivita, Brendan" (b)(6) wrote:
(b)(6) – what office did you work in here at DHS? I need to know so they can review the manuscript.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, December 20, 2012 2:57 PM
To: (b)(6) (b)(6)
Subject: RE: Office of Security Inquiry

From: Lacivita, Brendan
To: Young, John
Subject: RE: Office of Security Inquiry
Date: Wednesday, January 23, 2013 2:30:00 PM

The author declined to provide an electronic copy so I had to accept the actual manuscript, and it's much easier to track one hard copy than to have several floating around.

There should be an office name/individual name below yours on the memo. If not let me know.

Thanks John -

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Young, John
Sent: Wednesday, January 23, 2013 2:22 PM
To: Lacivita, Brendan
Subject: RE: Office of Security Inquiry

Brendan,

I haven't forwarded it to anyone. I still have the copy sent to me and didn't realize till just now that I was supposed to send it on. I didn't read your cover memo - I just assumed that individual copies were sent to each applicable office. I had no idea we were circulating just one hard copy of the document. Is there anyone in particular I'm supposed to send this too in I&A?

John

John J. Young
 Chief, Administrative Security Division
 DHS Office of the Chief Security Officer

(b)(6) (b)(6)
 (b)(6) (Cell)

(b)(6)

If you have any comments, concerns, suggestions, or kudos about any OCSO individual, process or program click here [OCSO Suggestion e-Box](#) and let us know.

From: Lacivita, Brendan
Sent: Wednesday, January 23, 2013 2:04 PM
To: Young, John
Subject: RE: Office of Security Inquiry

John – apologies for my delay in replying.

Have you forwarded the manuscript for further review? I need to know where it is now.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Young, John
Sent: Tuesday, January 08, 2013 9:44 AM
To: Lacivita, Brendan
Subject: RE: Office of Security Inquiry

Brendan,

From a general security perspective we have no issues with the manuscript that might cause us to object to its publication. We defer to the subject matter experts.

John

John J. Young
Chief, Administrative Security Division
DHS Office of the Chief Security Officer
(b)(6) (b)(6)
(b)(6) (Cell)
(b)(6) (b)(6)

If you have any comments, concerns, suggestions, or kudos about any OCSO individual, process or program click here [OCSO Suggestion e-Box](#) and let us know.

From: Lacivita, Brendan
Sent: Friday, December 14, 2012 2:04 PM
To: (b)(6) (b)(6)
Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John
Subject: RE: Office of Security Inquiry

(b)(6) - I received the manuscript today and will begin review on Monday.

Thanks –
Brendan

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(6)
Sent: Tuesday, December 11, 2012 8:34 PM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John
Subject: Re: Office of Security Inquiry

Ok, I FedEx'd it tonight. It should arrive at the mail center Thursday morning. Please let me know when it has made its way to you.

Thanks,

(b)(6)

On Tue, Dec 11, 2012 at 9:30 AM, Lacivita, Brendan (b)(6) wrote:
 Hi (b)(6) – you can send it to me – (b)(6) Washington, DC 20528.

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security
 (b)(6) (b)(6)
www.DHS.gov

From: (b)(6)
Sent: Sunday, December 09, 2012 10:57 AM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John

Subject: Re: Office of Security Inquiry

I would much prefer to send a physical copy. Who and where should I address it to?

Thanks,

(b)(6)

On Fri, Dec 7, 2012 at 10:06 AM, Lacivita, Brendan (b)(6) wrote:
 Hi (b)(6) – is there any way to email the manuscript?

(b)(6) It can take weeks for my office to receive a mailed package. Could it be mailed to your office instead? I can take the lead in its review once you receive it.

Let us know –

Thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6)
Sent: Thursday, December 06, 2012 8:21 PM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C)

Subject: Re: Office of Security Inquiry

Ok, I'm happy to send it there. Can you send me the direct email address for (b)(6) so I can work out with her how to transfer the manuscript?

Thanks,

(b)(6)

On Mon, Dec 3, 2012 at 4:55 PM, Lacivita, Brendan (b)(6) wrote:
Send it to (b)(6) in OCSO

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6);(b)(7)(C)
Sent: Monday, December 03, 2012 4:25 PM
To: Lacivita, Brendan
Cc: Office of Security

Subject: Re: Office of Security Inquiry

Ok, the manuscript is ready for security review. Where should I send it?

Thanks,

(b)(6)

On Tue, Nov 6, 2012 at 1:57 PM, Lacivita, Brendan (b)(6) wrote:
(b)(6);(b) just continue working with (b)(6) in OCSO (copied). She can provide an appropriate mailing address, etc., and will coordinate review with the appropriate DHS components.

Thanks –

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6);(b)(7)(C)
Sent: Tuesday, November 06, 2012 11:24 AM

To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan, I am still working on the manuscript and will be submitting a draft to my publisher in early December. I anticipate submitting it for DHS's security review around that time. If you could let me know where to send it, that would be helpful.

Thanks,

(b)(6)

On Mon, Nov 5, 2012 at 10:35 AM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – any update on this? Did OCSO review/clear?

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6);(b)(7)(C)
Sent: Monday, October 08, 2012 8:55 AM
To: Lacivita, Brendan

Subject: Re: Office of Security Inquiry

Hi Brendan,
Can you explain why OPA is involved in this process? I am not currently employed by DHS, so the only review required is by security. Plus, this is in regard to a math textbook.

Thanks,

(b)(6)

On Fri, Oct 5, 2012 at 2:01 PM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – just communicate directly with me.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Office of Security
Sent: Friday, October 05, 2012 1:15 PM
To: (b)(6) (b)(6)
Cc: Office of Security; Lacivita, Brendan; (b)(6)

Subject: RE: Office of Security Inquiry

Good Afternoon (b)(6)

Our apologize, we have been contacted by Office of Public Affairs (OPA) and they notified us that the previous information given was incorrect. You will need to contact the OPA (contact information is below); the book draft does require an OPA review who will in turn coordinate within the Department to get the review process started.

Phone number: (b)(6)

E-mail address: (b)(6)

Please let us know if there are any questions in relation to this.

Thank you for your patience in this matter,

(b)(6)

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
(b)(6)

From: Office of Security
Sent: Thursday, October 04, 2012 11:25 AM
To: (b)(6) (b)(6)
Cc: Office of Security
Subject: RE: Office of Security Inquiry

Good Morning (b)(6)

We have forwarded your message to the Office of General Counsel (OGC). In the past, this type of information was received by Public Affairs since they dealt with public publications that may have an impact on DHS as an agency. However, when Public Affairs was contacted they informed us to contact OGC in relation to this.

Please let us know if there are any questions in relation to this.

Thank you,

(b)(6)

Security Customer Service Center

Office of the Chief Security Officer

US Department of Homeland Security, Headquarters

Office: (b)(6) (b)(6)

(b)(6)

From: (b)(6) (b)(6);(b)(7)(C)

Sent: Wednesday, October 03, 2012 9:21 PM

To: Office of Security

Subject: Re: Office of Security Inquiry

Hi (b)(6)

I'm not sure I understand. I'm not currently employed by DHS, so there is no need for a review by public affairs. The only reviews required are by security and ethics, and the ethics review already took place in January. Why does the book need to go to public affairs?

Thanks,

(b)(6)

On Tue, Oct 2, 2012 at 8:49 AM, Office of Security (b)(6) wrote:

Good Morning (b)(6)

Please contact the DHS Office of Public Affairs in relation to this. We have the below contact information for them.

Phone number: (b)(6)

E-mail address: publicaffairs@hq.dhs.gov

Thank you,

(b)(6)

Security Customer Service Center

Office of the Chief Security Officer

US Department of Homeland Security, Headquarters

Office: (b)(6) (b)(6)

Fax: (b)(6)

(b)(6)

From: (b)(6)

Sent: Monday, October 01, 2012 8:50 PM

To: Office of Security

Subject: Re: Office of Security Inquiry

Hello,

On September 16, I sent the email below requesting assistance with regard to pre-publication review of a textbook I am publishing. I have not yet received a response. Please advise on how I should proceed.

Thanks very much,

(b)(6)

Hello,

I am a recently separated DHS employee; my last day of employment was July 14, 2012. While I was employed by DHS, I signed a contract to publish an introductory textbook on analytics. Analytics is a subject I was an expert in before I was hired, and none of the content of the book pertains to homeland security, so the ethics office cleared my signing the contract.

The book will be finished in January of 2013. While employed [redacted] so I recognize that I have an obligation to submit the manuscript for pre-publication review, even though there is no homeland security content in the book.

What is the procedure for submitting the manuscript for review? How long does review typically take?

Please call me if you have any questions at [redacted]

Thanks for your help!

[redacted]

On Sun, Sep 16, 2012 at 9:21 PM, Office of Security [redacted] wrote:
Thank you for contacting the Office of the Chief Security Officer (OCSO) Customer Service Center. Your e-mail submission has been received. Please allow two business days for a response. For time-sensitive requests, please contact us at [redacted]

Our core hours of operation are 8:00 a.m. to 4:00 p.m., Monday through Friday (except Federal holidays). For your convenience, you may visit us at our 7th & D St. location in [redacted] DHS Employees and on-site contractors may visit the OCSO Intranet Site for answers to common security-related questions.

If your email concerns a matter described below, please take the action indicated:

- Life Threatening Emergencies: Call 911; then notify the Federal Protective Service (FPS) at [redacted]
[redacted]
- Non-Life Threatening Emergencies: Call the Federal Protective Service (FPS) at [redacted]
- SCI related inquiries: Contact your Special Security Officer (SSO).
- Nebraska Avenue Complex security-related emergencies and suspicious activity: Contact the NAC Security Desk at [redacted]
- To report Suspicious Activities: Send an e-mail to suspicious.activity@dhs.gov and/or call our phone number at [redacted]

Thank you for allowing us the opportunity to assist you.

Security Customer Service Center

Office of the Chief Security Officer

US Department of Homeland Security, Headquarters

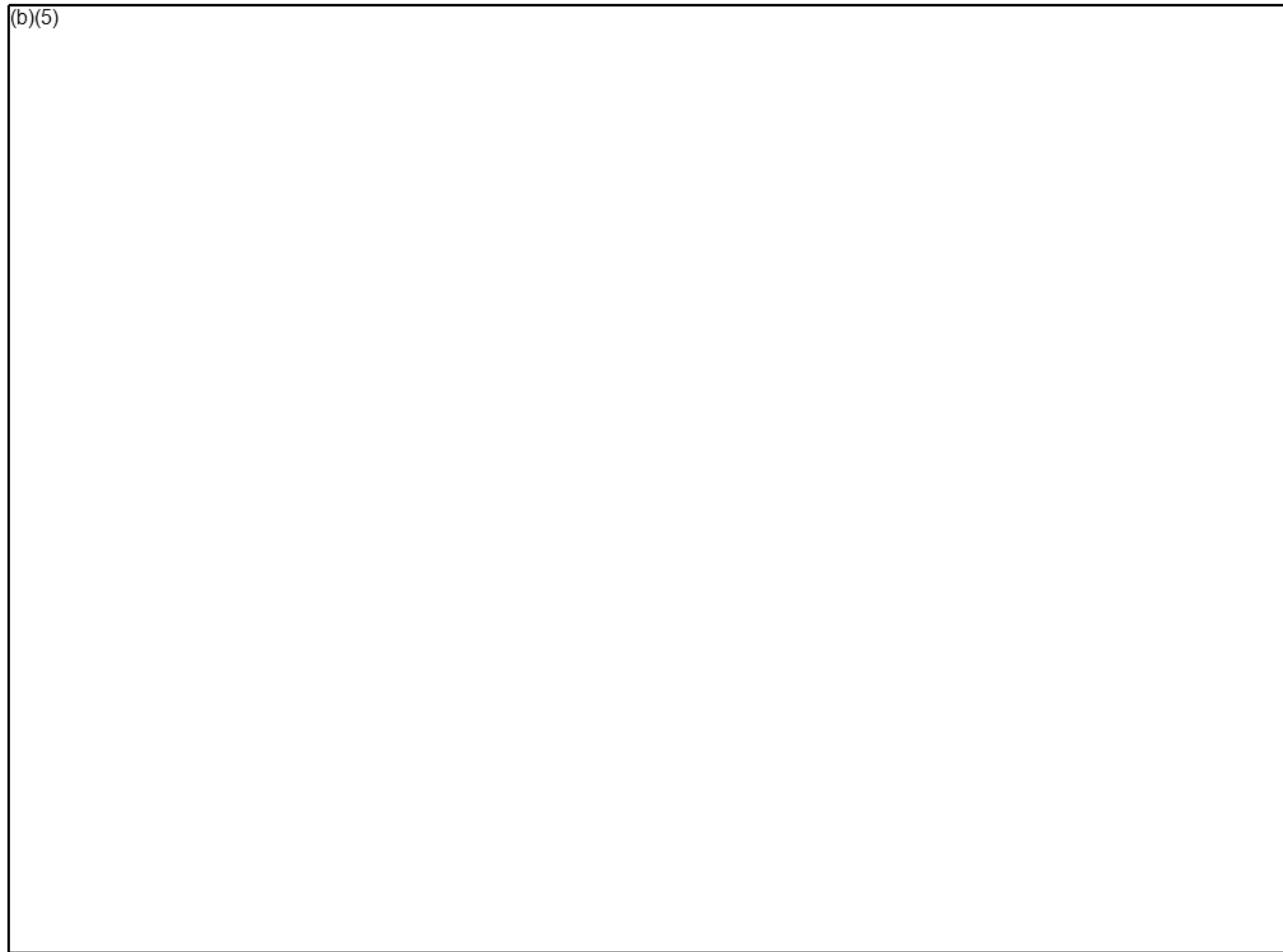
Office: [redacted] [redacted]

Fax: [redacted]

[redacted]

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Thursday, November 1, 2012 5:19:00 PM

(b)(5)



Thanks Chip –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 01, 2012 9:03 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan:
MD 2260.1 is still the standard, right? What requires Ad Law review? I'm still trying to

figure out what we should, and shouldn't be reviewing. Also, has the SSO cleared? It's not really mentioned in this string. Have OGC intel folks reviewed the substance?
 Chip

From: Lacivita, Brendan
Sent: Wednesday, October 31, 2012 4:55 PM
To: Boucher, Leo; (b)(6)
Cc: (b)(6)
Subject: FW: Book chapter for prepublication review

Chip – looping you into this latest review. I'll forward the necessary attachments.

Adding (b)(6) in Ethics for her awareness, but not review.

Thanks –
 Brendan

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security
 (b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Monday, October 15, 2012 3:47 PM
To: Lacivita, Brendan; Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (C)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(7) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)
 Special Security Officer (SSO)
 Intelligence & Analysis Support
 U. S. Department of Homeland Security
 (b)(7)(C)
 Desk: (b)(6)
 BB: (b)(6)
 Fax: (b)(6)

From: (b)(6) (C) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: (b)(6)
Subject: RE: Book chapter for prepublication review

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Thursday, November 1, 2012 5:19:00 PM

(b)(5)

Thanks Chip –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 01, 2012 9:03 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan:
MD 2260.1 is still the standard, right? What requires Ad Law review? I'm still trying to

figure out what we should, and shouldn't be reviewing. Also, has the SSO cleared? It's not really mentioned in this string. Have OGC intel folks reviewed the substance?
 Chip

From: Lacivita, Brendan
Sent: Wednesday, October 31, 2012 4:55 PM
To: Boucher, Leo; (b)(6)
Cc: (b)(6)
Subject: FW: Book chapter for prepublication review

Chip – looping you into this latest review. I'll forward the necessary attachments.

Adding (b)(6) in Ethics for her awareness, but not review.

Thanks –
 Brendan

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security
 (b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Monday, October 15, 2012 3:47 PM
To: Lacivita, Brendan; Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6) (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(7) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)
 Special Security Officer (SSO)
 Intelligence & Analysis Support
 U. S. Department of Homeland Security
 (b)(7)(C)
 Desk: (b)(6)
 BB: (b)(6)
 Fax: (b)(6)

From: (b)(6);(b)(7)(C)(b)(6) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: (b)(6)
Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

Thank you for your note. The book is an edited work where each chapter is written by a different author with the overall editor being (b)(6) (Former Deputy Director for Analysis at the CIA). The publisher is SAGE/CQ Press and the book is designed to be a companion to the general intelligence undergraduate textbook written by (b)(6);(b)(7)(C) entitled "Intelligence: From Secrets to Policy" an overview can be found at the publisher's website here:

<http://www.cqpress.com/product/Intelligence5e.html>

As one of many authors, I do not have access to the other chapters written, so I'm afraid I can't be of much help with what others are writing. That said, I believe that the book is laid out as follows:

- Introduction Chapter (b)(6)
- Chapter on Open Source Intelligence (me)
- Chapters on HUMINT, SIGINT, MASINT and GEOINT (don't know the order –different authors, all subject matter experts in their field)
- Chapter on Counterintelligence (CI SME who I don't know)
- Conclusion (b)(6)

Publication timeline – as a chapter contributor I have no insight into publication timeline, other than to say that the publisher is very anxious to receive the chapter (which I have withheld pending the outcome of pre-pub review). So in short, I would say as a timeline for review, the IC standard of 30 days is what we're shooting for. In my original email to you, I simultaneously submitted the chapter to the DNI prepublication review staff and they have indicated they will process within that time period.

I hope this helps in answering the questions, and I apologize for not being able to provide detailed answers on some of the review panel's questions. If I were authoring the entire book I'd have more info, but I'm just one of many authors so my view and understanding of the process is fairly limited.

Regards,

(b)(6)

From: (b)(6) (CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) (b)(7)(C) (b)(6)
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7)(C) and ODNI Prepublication Review Staff,

Attached please find a book chapter I am submitting for prepublication review. The chapter deals with Open Source Intelligence and is based on my career as an open source officer culminating as the Assistant Deputy Director of National Intelligence for Open Source. I have done my best to keep the text devoid of anything sensitive or classified, but I ultimately defer to you.

Should you have any questions regarding sourcing, I am happy to provide further details and can be reached via phone at (b)(6) or via email at this address. I can also be reached on JWICS at (b)(6). Please kindly respond to this email so I know my request has been received.

My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Friday, November 9, 2012 4:09:00 PM

Ok – USM wants it by 11/23.

Thanks Chip –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Friday, November 09, 2012 3:13 PM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan
I haven't been able to spend much time on it. I read through it quickly once, but haven't done a hard review on it. Yet.
Chip

From: Lacivita, Brendan
Sent: Friday, November 09, 2012 11:43 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – any movement on the pre-pub MD/instructions document I sent you and (b)(6) I think I emailed them to you on 10/23.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 08, 2012 4:11 PM

To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Thx for clarifying. I reviewed that (b)(6) chapter to the book this week, and have no objections

Chip

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6) Cell: (b)(6) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the messages. Thank you.

From: Lacivita, Brendan
Sent: Thursday, November 08, 2012 4:04 PM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – I may have contributed to that confusion, so my apologies. What we discussed last week was the CIA/Hollywood article, which no longer is an issue. The item with you guys now is the (b)(6) piece. Both pieces came from I/A.

Hope that helps –

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 08, 2012 1:02 PM
To: Lacivita, Brendan
Subject: FW: Book chapter for prepublication review

Brendan: (b)(6)
 Isn't this (b)(6) chapter the issue that we email about earlier this week? What went thru I/A? or is the wrong document attached? You indicated that there's another one in Ad Law waiting for review.

Thx
 Chip

Chip Boucher
 Assistant General Counsel, Administrative Law
 General Law Division
 Office of the General Counsel
 Department of Homeland Security
 Washington, DC 20528
 Telephone: (b)(6) (b)(6) Cell: (b)(6)

~~This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the messages. Thank you.~~

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:59 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Ok – thanks.

Incidentally, there's another article (attached) I believe is with your group. I've pasted an email thread below you may find helpful. Any update on this one?

Thanks Chip -

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security
 (b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: (b)(6)
Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

Thank you for your note. The book is an edited work where each chapter is written by a different author with the overall editor being (b)(6) [REDACTED] Former Deputy Director for Analysis at the CIA). The publisher is SAGE/CQ Press and the book is designed to be a companion to the general intelligence undergraduate textbook written by (b)(6);(b)(7)(C) [REDACTED] entitled "Intelligence: From Secrets to Policy" an overview can be found at the publisher's website here:

<http://www.cqpress.com/product/Intelligence5e.html>

As one of many authors, I do not have access to the other chapters written, so I'm afraid I can't be of much help with what others are writing. That said, I believe that the book is laid out as follows:

- Introduction Chapter (b)(6) [REDACTED]
- Chapter on Open Source Intelligence (me)
- Chapters on HUMINT, SIGINT, MASINT and GEOINT (don't know the order –different authors, all subject matter experts in their field)
- Chapter on Counterintelligence (CI SME who I don't know)
- Conclusion (b)(6) [REDACTED]

Publication timeline – as a chapter contributor I have no insight into publication timeline, other than to say that the publisher is very anxious to receive the chapter (which I have withheld pending the outcome of pre-pub review). So in short, I would say as a timeline for review, the IC standard of 30 days is what we're shooting for. In my original email to you, I simultaneously submitted the chapter to the DNI prepublication review staff and they have indicated they will process within that time period.

I hope this helps in answering the questions, and I apologize for not being able to provide detailed answers on some of the review panel's questions. If I were authoring the entire book I'd have more info, but I'm just one of many authors so my view and understanding of the process is fairly limited.

Regards,

(b)(6) [REDACTED]

From: (b)(6) [REDACTED] (CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) [REDACTED] (b)(7)(C) [REDACTED] (b)(6) [REDACTED]
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7)(C) [REDACTED] and ODNI Prepublication Review Staff,

Attached please find a book chapter I am submitting for prepublication review. The chapter deals with Open Source Intelligence and is based on my career as an open source officer culminating as the Assistant Deputy Director of National Intelligence for Open Source. I have done my best to keep the text devoid of anything sensitive or classified, but I ultimately defer to you.

Should you have any questions regarding sourcing, I am happy to provide further details and can be

reached via phone at (b)(6) or via email at this address. I can also be reached on JWICS at (b)(6). Please kindly respond to this email so I know my request has been received.

My thanks in advance for your time and assistance.

Sincerely,

(b)(6) (b)(6)

From: Boucher, Leo [mailto:(b)(6)]
Sent: Monday, November 05, 2012 10:41 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Ok. let me kick it around with (b)(6) a bit. If it's already been cleared by one OGC SME, I'm not sure it needs our review.
Chip

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:26 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

I don't know – I've always been told to submit to you guys. If you don't think there's a need let me know.

Thanks Chip -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Monday, November 05, 2012 10:25 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Ok. Thx, Brendan. I am just trying to figure out why ad law needs to review if a SME already has.
Chip

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:23 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – I'm unsure what happened, but I/A already submitted the article to the publication for review, and it was turned down, so there's no need for further review.

I appreciate your help.

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 01, 2012 9:03 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan:

MD 2260.1 is still the standard, right? What requires Ad Law review? I'm still trying to figure out what we should, and shouldn't be reviewing. Also, has the SSO cleared? It's not really mentioned in this string. Have OGC intel folks reviewed the substance?

Chip

From: Lacivita, Brendan
Sent: Wednesday, October 31, 2012 4:55 PM
To: Boucher, Leo; (b)(6)
Cc: (b)(6)
Subject: FW: Book chapter for prepublication review

Chip – looping you into this latest review. I'll forward the necessary attachments.

Adding (b)(6) in Ethics for her awareness, but not review.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(7)(C) (b)(7)(C)

Sent: Monday, October 15, 2012 3:47 PM
To: Lacivita, Brendan; Andrews, John; [REDACTED]; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, [REDACTED] [REDACTED]; [REDACTED]
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; [REDACTED] [REDACTED]
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

[REDACTED] [REDACTED]
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security

[REDACTED]
Desk: [REDACTED]
BB: [REDACTED]
Fax: [REDACTED]

From: [REDACTED] [REDACTED] CTR
Sent: Monday, October 15, 2012 2:23 PM
To: [REDACTED] [REDACTED]
Cc: [REDACTED]
Subject: RE: Book chapter for prepublication review

Mr. [REDACTED]

Thank you for your note. The book is an edited work where each chapter is written by a different author with the overall editor being [REDACTED] (Former Deputy Director for Analysis at the CIA). The publisher is SAGE/CQ Press and the book is designed to be a companion to the general intelligence undergraduate textbook written by [REDACTED] entitled "Intelligence: From Secrets to Policy" an overview can be found at the publisher's website here:

<http://www.cqpress.com/product/Intelligence5e.html>

As one of many authors, I do not have access to the other chapters written, so I'm afraid I can't be of much help with what others are writing. That said, I believe that the book is laid out as follows:

- Introduction Chapter [REDACTED]
- Chapter on Open Source Intelligence (me)
- Chapters on HUMINT, SIGINT, MASINT and GEOINT (don't know the order –different authors, all subject matter experts in their field)
- Chapter on Counterintelligence (CI SME who I don't know)
- Conclusion [REDACTED]

Publication timeline – as a chapter contributor I have no insight into publication timeline, other than to say that the publisher is very anxious to receive the chapter (which I have withheld pending the outcome of pre-pub review). So in short, I would say as a timeline for review, the IC standard of 30 days is what we're shooting for. In my original email to you, I simultaneously submitted the chapter

to the DNI prepublication review staff and they have indicated they will process within that time period.

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Regards,

(b)(6)

From: (b)(6) R
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) (b)(7)(C) (b)(6)
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7)(C) and ODNI Prepublication Review Staff,

Attached please find a book chapter I am submitting for prepublication review. The chapter deals with Open Source Intelligence and is based on my career as an open source officer culminating as the Assistant Deputy Director of National Intelligence for Open Source. I have done my best to keep the text devoid of anything sensitive or classified, but I ultimately defer to you.

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My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Boucher, Leo
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review
Date: Monday, November 5, 2012 10:41:21 AM

Ok. let me kick it around with [b](6) a bit. If it's already been cleared by one OGC SME,
I'm not sure it needs our review.

Chip

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:26 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

I don't know – I've always been told to submit to you guys. If you don't think there's a need let me know.

Thanks Chip -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
[b](6) [b](6)
www.DHS.gov

From: Boucher, Leo [b](6)
Sent: Monday, November 05, 2012 10:25 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Ok. Thx, Brendan. I am just trying to figure out why ad law needs to review if a SME already has.
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From: Lacivita, Brendan
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Chip – I'm unsure what happened, but I/A already submitted the article to the publication for review, and it was turned down, so there's no need for further review.

I appreciate your help.

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 01, 2012 9:03 AM
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Subject: RE: Book chapter for prepublication review

Brendan:
 MD 2260.1 is still the standard, right? What requires Ad Law review? I'm still trying to figure out what we should, and shouldn't be reviewing. Also, has the SSO cleared? It's not really mentioned in this string. Have OGC intel folks reviewed the substance?
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From: Lacivita, Brendan
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Cc: (b)(6)
Subject: FW: Book chapter for prepublication review

Chip – looping you into this latest review. I'll forward the necessary attachments.

Adding (b)(6) in Ethics for her awareness, but not review.

Thanks –
 Brendan

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security
 (b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Monday, October 15, 2012 3:47 PM
To: Lacivita, Brendan; Andrews, John; (b)(6) (b)(6); Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(7)(C)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(7) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)

Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security

(b)(7)(C)

Desk: (b)(6)

BB: (b)(6)

Fax: (b)(6)

From: (b)(6) (b)(6) (CTR)

Sent: Monday, October 15, 2012 2:23 PM

To: (b)(7)(C) (b)(7)(C)

Cc: (b)(6)

Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

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Regards,

(b)(6)

From: (b)(6) (b)(6) CTR
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) (b)(7)(C) (b)(6)
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7)(C) and ODNI Prepublication Review Staff,

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My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Lacivita, Brendan
To: (b)(7)(C) (b)(7)(C) Andrews, John; (b)(6); (b)(7)(C) Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(6); (b)(7)(C)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(6); (b)(7)(C)
Subject: RE: Book chapter for prepublication review
Date: Friday, November 9, 2012 5:30:00 PM
Importance: High

Hi folks – any updates on review?

OGC General Law cleared today.

Thanks –

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Friday, October 12, 2012 1:39 PM
To: Andrews, John; (b)(6) (b)(6) Carter, Jeff; Lacivita, Brendan; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(7) (b)(7)(C)
Subject: Book chapter for prepublication review
Importance: High

ALCON,

OI&A contractor requests attached for your pre-publication review.

Please advise whether a review is or is not required per policy.

Assistance appreciated.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)

From: (b)(6) (CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) (b)(7)(C) (b)(6)
Subject: Book chapter for prepublication review

Importance: High

Dear Mr. [b](7)(C) and ODNI Prepublication Review Staff,

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My thanks in advance for your time and assistance.

Sincerely,

[b](6)

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Thursday, November 8, 2012 4:22:00 PM

Ok sounds good – thanks Chip!

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
[(b)(6)] [(b)(6)]
www.DHS.gov

From: Boucher, Leo [(b)(6)]
Sent: Thursday, November 08, 2012 4:11 PM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Thx for clarifying. I reviewed that [(b)(6)] chapter to the book this week, and have no objections

Chip

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: [(b)(6)] [(b)(6)] Cell: [(b)(6)]

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the messages. Thank you.

From: Lacivita, Brendan
Sent: Thursday, November 08, 2012 4:04 PM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – I may have contributed to that confusion, so my apologies. What we discussed last week was the CIA/Hollywood article, which no longer is an issue. The item with you guys now is the [(b)(6)] piece. Both pieces came from I/A.

Hope that helps –

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 08, 2012 1:02 PM
To: Lacivita, Brendan
Subject: FW: Book chapter for prepublication review

Brendan:

Isn't this (b)(6) chapter the issue that we email about earlier this week? What went thru I/A? or is the wrong document attached? You indicated that there's another one in Ad Law waiting for review.

Thx

Chip

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6); Cell: (b)(6) (b)(6)

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From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:59 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Ok – thanks.

Incidentally, there's another article (attached) I believe is with your group. I've pasted an email thread below you may find helpful. Any update on this one?

Thanks Chip -

Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security
 (b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: (b)(6)
Subject: RE: Book chapter for prepublication review

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Regards,

(b)(6)

From: (b)(6) [CTR]
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) (b)(7)(C) (b)(6)
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(b)(6)
(b)(6)

From: Boucher, Leo (b)(6)
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To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

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Sent: Monday, November 05, 2012 10:26 AM
To: Boucher, Leo
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Brendan I. LaCivita
 Deputy Director of Strategic Communications
 Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

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From: Lacivita, Brendan
Sent: Wednesday, October 31, 2012 4:55 PM
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Office of Public Affairs
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(b)(6) (b)(6)

www.DHS.gov

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To: Lacivita, Brendan; Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(7) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)

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Sincerely,

(b)(6)

From: Kronisch, Matthew
To: Lacivita, Brendan; [(b)(7)(C)] Andrews, John; [(b)(6)] L.; Carter, Jeff; Sampson, Timothy B; D'Antonio, [(b)(7)(C)] [(b)(7)(C)] [(b)(6)]
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; [(b)(6)] [(b)(7)(C)]
Subject: RE: Book chapter for prepublication review
Date: Tuesday, November 13, 2012 7:53:58 AM

OGC ILD will defer to OGC General Law's action.

Matthew L. Kronisch

Associate General Counsel (Intelligence)

U.S. Department of Homeland Security

Washington D.C. 20528

Tel: [(b)(6)]
Mobile: [(b)(6)]
NSTS: [(b)(6)]
pager: [(b)(6)]
Email: [REDACTED]

[(b)(6)]

Executive Assistant

Tel: [(b)(6)]
Email: [(b)(6)]

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender and delete this message. Thank you.

From: Lacivita, Brendan

Sent: Friday, November 09, 2012 5:30 PM

To: [(b)(7)(C)] [(b)(7)(C)] Andrews, John; [(b)(6)] [(b)(6)] L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, [(b)(7)(C)] [(b)(7)(C)] [(b)(6)]

Cc: Davis, Shane; Miller, Michael; Lott, Thomas; [(b)(7)] [(b)(7)(C)]

Subject: RE: Book chapter for prepublication review

Importance: High

Hi folks – any updates on review?

OGC General Law cleared today.

Thanks –

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

[(b)(6)] [(b)(6)]

www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Friday, October 12, 2012 1:39 PM
To: Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Lacivita, Brendan; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(7) (b)(7)(C)
Subject: Book chapter for prepublication review
Importance: High

ALCON,

OI&A contractor requests attached for your pre-publication review.

Please advise whether a review is or is not required per policy.

Assistance appreciated.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)

From: (b)(6) (b)(6) CTR
Sent: Thursday, October 11, 2012 7:30 PM
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Sincerely,

(b)(6)

From: (b)(7)(C) (b)(7)
To: Lacivita, Brendan
Subject: Book chapter for prepublication review
Date: Wednesday, November 21, 2012 8:11:22 AM

I have no additional updates.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)

From: Lacivita, Brendan
Sent: Tuesday, November 20, 2012 2:21 PM
To: (b)(7)(C) (b)(7)(C)
Subject: RE: Book chapter for prepublication review

(b)(7)(C) – any update on this?

I'd like to forward the final copy to the press office for its review.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Tuesday, November 13, 2012 3:58 PM
To: (b)(7)(C) (b)(7)(C)
Subject: FW: Book chapter for prepublication review

(b)(7)(C) – please see below from I/A.

I'm still waiting for others to clear, but in the meantime you can communicate I/A's concerns to the author to make the appropriate changes.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications

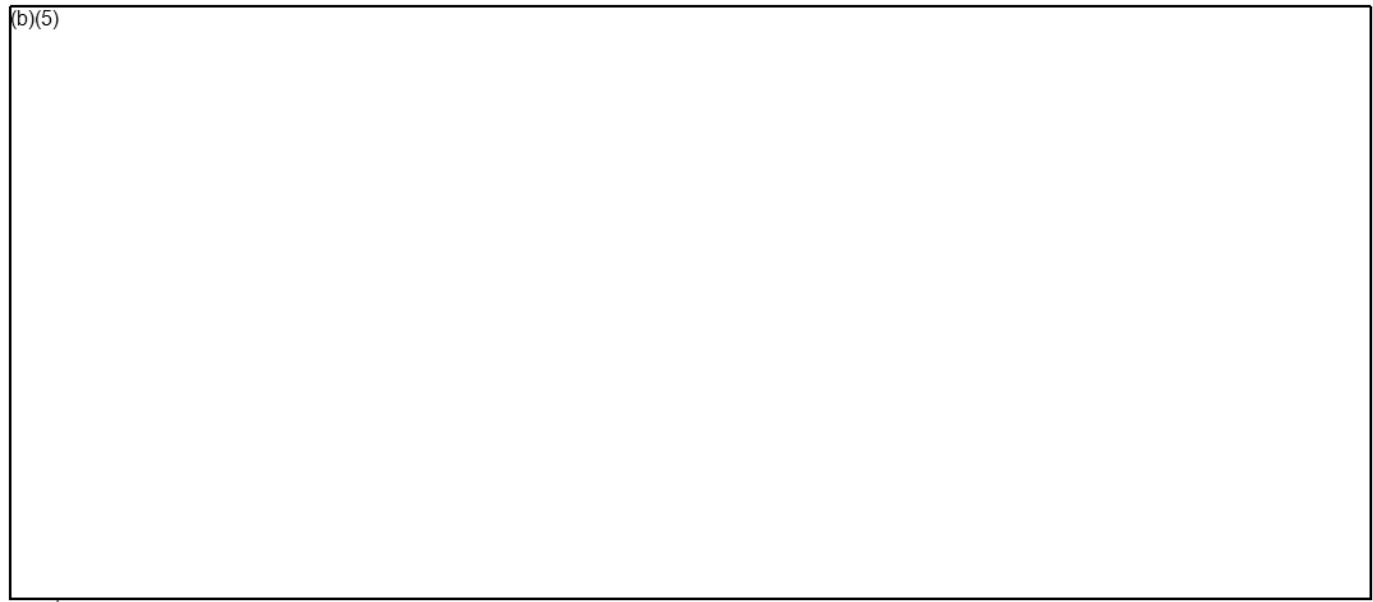
Office of Public Affairs
U.S. Department of Homeland Security
[redacted] [redacted]
www.DHS.gov

From: [redacted] [redacted]
Sent: Tuesday, November 13, 2012 1:16 PM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan,

I&A Security clears. Analysis provided the following comments:

[redacted]
(b)(5)



r/
[redacted]
(b)(6)
[redacted]

From: Lacivita, Brendan
Sent: Friday, November 09, 2012 5:30 PM
To: [redacted] [redacted] Andrews, John; [redacted] [redacted] L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, [redacted] [redacted] [redacted] [redacted]
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; [redacted] [redacted]
Subject: RE: Book chapter for prepublication review
Importance: High

Hi folks – any updates on review?

OGC General Law cleared today.

Thanks –
Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Friday, October 12, 2012 1:39 PM
To: Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Lacivita, Brendan; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(7) (b)(7)(C)
Subject: Book chapter for prepublication review
Importance: High

ALCON,

OI&A contractor requests attached for your pre-publication review.

Please advise whether a review is or is not required per policy.

Assistance appreciated.

(b)(7)(C) (b)(7)(C)
 Special Security Officer (SSO)
 Intelligence & Analysis Support
 U. S. Department of Homeland Security
 (b)(7)(C)
 Desk: (b)(6)
 BB: (b)(6)
 Fax: (b)(6)

From: (b)(6) (b)(6) (CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) (b)(7)(C) (b)(6)
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7)(C) and ODNI Prepublication Review Staff,

Attached please find a book chapter I am submitting for prepublication review. The chapter deals with Open Source Intelligence and is based on my career as an open source officer culminating as the Assistant Deputy Director of National Intelligence for Open Source. I have done my best to keep the text devoid of anything sensitive or classified, but I ultimately defer to you.

Should you have any questions regarding sourcing, I am happy to provide further details and can be reached via phone at (b)(6) or via email at this address. I can also be reached on JWICS at (b)(6). Please kindly respond to this email so I know my request has been received.

My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Heller, Susan
To: Lacivita, Brendan
Subject: RE: Book contract-writing in a personal capacity:4262
Date: Wednesday, January 30, 2013 4:52:33 PM

Perfect for background. Thank you. I'll pass to Chip Boucher in General Law.

Susan Heller
Associate General Counsel for Ethics
Ethics Division, OGC
NAC, Bldg. 1, (b)(6)
(b)(6)

Washington, DC 20528-0485
Voice: (b)(6)
Fax: (b)(6)

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From: Lacivita, Brendan
Sent: Wednesday, January 30, 2013 1:23 PM
To: Heller, Susan
Subject: FW: Book contract-writing in a personal capacity:4262
Importance: High

Susan – this re the book manuscript issue we just discussed. Below is the email correspondence the author, a previous federal employee, had with (b)(6) re the contract, but not the actual manuscript itself.

I apologize for mixing things up –

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications
 Office of Public Affairs
 U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(6);(b)(7)(C)
Sent: Wednesday, January 30, 2013 1:16 PM
To: Lacivita, Brendan
Subject: Fwd: Book contract-writing in a personal capacity:4262

Hi Brendan, here is my earlier correspondence with the ethics office.

Thanks,

(b)(6)

Sent from my iPhone

Begin forwarded message:

From: (b)(6);(b)(7)(C) (b)(6) (b)(6)
Date: July 5, 2012, 10:40:08 AM EDT
To: (b)(6) (b)(6);(b)(7)(C) (b)(6) (b)(6);(b)(7)(C)
Subject: FW: Book contract-writing in a personal capacity:4262

(b)(6);(b)(7)(C)

Chief Scientist
 Risk and Capability Analytics, Risk and Decision Analysis Directorate
 Office of Policy/Strategy, Planning, Analysis and Risk (SPAR)
 U.S. Department of Homeland Security

(b)(6)

Mobile: (b)(6)

(b)(6);(b)(7)(C) (b)(6)

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From: Bennett, Steve
Sent: Tuesday, January 31, 2012 11:24 AM
To: (b)(6);(b)(7)(b)(6)
Subject: RE: Book contract-writing in a personal capacity:4262

(b)(6) it looks like (b)(6)'s kicking the decision to me, your supervisor. Consider this written approval to proceed, as long as the writing continues to be on your own time

and with your own resources.

Thanks,

--Steve

Steve Bennett, Ph.D.

Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security

(b)(6) (o)
(b)(6) (m)
(b)(6) (f)
(b)(6) (ste)

email: (b)(6)
HSDN/SIPR: (b)(6)

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From: Gilmore, Maureen
Sent: Tuesday, January 31, 2012 11:14 AM
To: (b)(6)
Cc: Bennett, Steve
Subject: Book contract-writing in a personal capacity:4262

Sir:

From the ethics perspective, there is no objection to you writing and receiving compensation for a textbook in which mathematics and statistics are the primary topics subject to the conditions discussed below.

The final approval for your writing should be coordinated with your first line supervisor in finding that the writing does not conflict with your duties.

One of the ethics concerns is whether an employee is compensated for writing outside his official duties. Generally, a government employee shall not receive compensation from any source other than the government for writing that relates to the employee's official duties. See 5 C.F.R. § 2635.807(a). Writing relates to the employee's official duties if the subject deals in significant part with:

- a) any matter to which the employee presently is assigned or to which the employee had been assigned during the previous one-year period [of government employment]; or
- b) any ongoing or announced policy, program or operation of the agency.

Government employees may not engage in an outside activity that conflicts with official duties. An activity conflicts with official duties if:

- a) If it is prohibited by statute or by an agency supplemental regulation; or
- b) If, under the standards set forth in §§2635.402 and 2635.502, it would require the employee's disqualification from matters so central or critical to the performance of his official duties that the employee's ability to perform the duties of his position would be materially impaired.

From the information that you provided about the book and about your official duties at DHS, it does not appear that the subject of the book relates to your official duties. Rather, the book topic is within the discipline of your previous career and education, that of a research scientist and analyst, before you joined the federal government. You advised that you began writing the book before beginning employment with the Federal government, and the book has no homeland security content, no mention of terrorism, natural disasters, or anything of the like.

Although it does not appear to me that there is a conflict in your circumstance, it is helpful if your supervisor assists in the determination whether your writing conflicts with your duties. You previously conferred with your supervisor about your writing, and he determined that your writing does not conflict with your DHS duties. I have copied him on this email to factor in the matters I discussed above in his final determination whether to find no objection to this outside writing activity.

Generally, a Government employee who is engaged in as an outside activity shall not use or permit the use of his official title or position to identify him in connection with his activity. See 5 C.F.R. § 2635.807(b). However, an employee may permit the inclusion of his title or position as one of several biographical details when such information is given to identify him in connection with his writing, provided that his title or position is given no more prominence than other significant biographical details.

Further, employees may not use government equipment in the performance of their outside activities. 5 C.F.R. § 2635.704. Also, employees may not use official government time for outside activities. 5 C.F.R. § 2635.705. You and your supervisor have advised that you have used no government resources or time in the writing of this book.

Finally, if this outside activity requires your presence during the normal government duty day, you may request annual leave. Department supervisors are not obligated to honor the request for leave, however, and may deny the request based on DHS mission necessities.

(b)(6)

Deputy Ethics Official
Office of General Counsel
Department of Homeland Security

Phone: (b)(6)
Fax: (b)(6)

(b)(6)

Mailing address:
OGC Stop 0485

Department of Homeland Security

(b)(6)

Washington, DC 20528-0485

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From: Bennett, Steve**Sent:** Friday, January 27, 2012 2:22 PM**To:** Gilmore, Maureen; (b)(6)**Cc:** Heller, Susan**Subject:** RE: question about book contract-writing in a personal capacity:4262

(b)(6)

As (b)(6);(b)(7)(C) supervisor, I have already seen the outline, and have in fact reviewed some of the chapters. I can affirm that (b)(6);(b)(7)(C) has done this on his own time with his own resources, and can additionally affirm that this work does not fall under 5 C.F.R. §2535.807.

--Steve**Steve Bennett, Ph.D.**

Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security

(b)(6) (o)
(b)(6) (m)
(b)(6) (f)
(b)(6) (ste)

email: (b)(6)

HSDN/SIPR: (b)(6)

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From: Gilmore, Maureen**Sent:** Friday, January 27, 2012 2:16 PM**To:** (b)(6); (b)(6)**Cc:** Bennett, Steve; Heller, Susan**Subject:** RE: question about book contract-writing in a personal capacity:4262

Thanks. I should be able to get to this review early next week.

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security

Phone: (b)(6)
Fax: (b)(6)

(b)(6)

Mailing address:
OGC Stop 0485
Department of Homeland Security
245 Murray Ln
Washington, DC 20528-0485

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From: (b)(6)
Sent: Friday, January 27, 2012 9:53 AM
To: Gilmore, Maureen
Cc: Bennett, Steve; Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

That's correct, I am a career employee. I've attached a copy of my position description.

The textbook is a mathematics and statistics book; it's within the discipline of my previous career and education before I joined the federal government, that of a research scientist and analyst. In fact, I began writing the book before I started working for the Federal government. The book will have no homeland security content, no mention of terrorism, natural disasters, or anything of the like. It's different than some of the other things we've published at RMA, because those works are related to current DHS programs (like cargo screening or risk assessments). Of course, we do not accept (nor are we offered) compensation for that type of writing.

I've attached a copy of the book's table of contents, as you requested.

Please let me know what other information will aid in your review,
(b)(6)

(b)(6)

Chief Scientist
 Office of Risk Management and Analysis (RMA)
 National Protection and Programs Directorate (NPPD)
 U.S. Department of Homeland Security
 Desk: (b)(6)
 Mobile: (b)(6)
 (b)(6)

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From: Gilmore, Maureen
Sent: Friday, January 27, 2012 8:26 AM
To: (b)(6) (b)(6)
Cc: Bennett, Steve; Heller, Susan
Subject: question about book contract-writing in a personal capacity:4262

Sir:

I am assuming that you are a career employee. If not, please advise.

Ethics and security are often the most obvious offices with which you will need to coordinate. However, in my past experience with RMA authors, the writing may be about programs that might have an S&T or NPPD or another component's interest, so you might have to coordinate with someone on those staffs also. Much of what you are asking is fact specific about your particular writing, so it may take a few emails to get all the salient facts to ensure complete review.

The key for the ethics review is that you will be receiving payment for writing and your writing may not conflict with your official DHS duties as the regulations provide. So, please provide me with a copy of your DHS position description and an outline of what you will be writing about.

Your supervisor, in coordination with ethics, will determine if your proposed writing fits into a category per 5 C.F.R. §2535.807, for which you may not accept payment:

§ 2635.807 Teaching, speaking and writing.

[↑ top](#)

- (a) *Compensation for teaching, speaking or writing.* Except as permitted by paragraph (a)(3) of this section, an employee, including a special Government employee, shall not receive compensation from any source other than the Government for teaching, speaking or writing that relates to the employee's official duties.
 - (1) *Relationship to other limitations on receipt of compensation.* The compensation prohibition contained in this section is in addition to any other limitation on receipt of compensation set forth in this chapter, including:
 - (i) The requirement contained in §2636.307 of this chapter that covered noncareer employees obtain advance authorization before engaging in teaching for compensation; and
 - (ii) The prohibitions and limitations in §2635.804 and in §2636.304 of this chapter on receipt of

outside earned income applicable to certain Presidential appointees and to other covered noncareer employees.

(2) *Definitions.* For purposes of this paragraph:

- (i) Teaching, speaking or writing relates to the employee's official duties if:
 - (A) The activity is undertaken as part of the employee's official duties;
 - (B) The circumstances indicate that the invitation to engage in the activity was extended to the employee primarily because of his official position rather than his expertise on the particular subject matter;
 - (C) The invitation to engage in the activity or the offer of compensation for the activity was extended to the employee, directly or indirectly, by a person who has interests that may be affected substantially by performance or nonperformance of the employee's official duties;
 - (D) The information conveyed through the activity draws substantially on ideas or official data that are nonpublic information as defined in §2635.703(b); or
 - (E) Except as provided in paragraph (a)(2)(i)(E)(4) of this section, the subject of the activity deals in significant part with:
 - (1) Any matter to which the employee presently is assigned or to which the employee had been assigned during the previous one-year period;
 - (2) Any ongoing or announced policy, program or operation of the agency; or
 - (3) In the case of a noncareer employee as defined in §2636.303(a) of this chapter, the general subject matter area, industry, or economic sector primarily affected by the programs and operations of his agency.
 - (4) The restrictions in paragraphs (a)(2)(i)(E) (2) and (3) of this section do not apply to a special Government employee. The restriction in paragraph (a)(2)(i)(E)(1) of this section applies only during the current appointment of a special Government employee; except that if the special Government employee has not served or is not expected to serve for more than 60 days during the first year or any subsequent one year period of that appointment, the restriction applies only to particular matters involving specific parties in which the special Government employee has participated or is participating personally and substantially.

Note: Section 2635.807(a)(2)(i)(E) does not preclude an employee, other than a covered noncareer employee, from receiving compensation for teaching, speaking or writing on a subject within the employee's discipline or inherent area of expertise based on his educational background or experience even though the teaching, speaking or writing deals generally with a subject within the agency's areas of responsibility.

Thanks.

Maureen

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security

Phone: (b)(6)

Fax: (b)(6)

(b)(6)

Mailing address:

OGC Stop 0485
Department of Homeland Security

(b)(6)

Washington, DC 20528-0485

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~~received this in error, please reply immediately to the sender and delete this message. Thank you.~~

From: (b)(6)
Sent: Thursday, January 26, 2012 2:59 PM
To: Gilmore, Maureen
Cc: Bennett, Steve
Subject: question about book contract

Hi Maureen,

I am a DHS employee working in the Office of Risk Management and Analysis, under Steve Bennett. I would like to accept a contract to write a textbook, which I describe below, but I understand the need to get ethics office approval before I sign the contract.

The textbook will be on introductory analytics and published by a company called (b)(6) The contract has not yet been signed, and is attached to this email. I'm working on the book only when I am not on duty and without using any government resources. I have no engagement with (b)(6) (b)(6) as part of my official duties. Analytics is a topic that I specialized in before I started working for the federal government, and the book will not contain any information on the programs or operations of DHS (obviously).

The book will contain only a brief mention of DHS in my biographical information. You will see in Section 11 of the contract that I and the publisher have agreed to follow DHS guidelines regarding authorship. The Publisher understands that I am completing the book in my private, rather than official, capacity.

I also understand that because I hold an (b)(6) I will need to pass a copy of the book, when a draft is complete, to the security office for review.

I also know to report the income from the book, if there actually is any, on the OGE 450 form.

What is the process for review? Do you need any more information?

Thanks very much for your help,

(b)(6)

(b)(6);(b)(7)(C)

Chief Scientist
Office of Risk Management and Analysis (RMA)
National Protection and Programs Directorate (NPPD)
U.S. Department of Homeland Security
Desk: (b)(6)
Mobile: (b)(6)

(b)(6) [REDACTED]

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From: Lacivita, Brendan
To: Abbott, Catherine
Subject: RE: Book Clearance
Date: Tuesday, November 5, 2013 4:42:00 PM

No – OPA runs the pre-publication program (the draft MD is under review in USM). Once the appropriate components clear you still have to get final clearance from OPA.

Thanks Cathy -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Tuesday, November 05, 2013 4:09 PM
To: Lacivita, Brendan
Subject: RE: Book Clearance

Sorry – I thought as long as there were no ethics or operational issues we were OK. The book was published. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Tuesday, November 05, 2013 3:22 PM
To: Abbott, Catherine
Subject: RE: Book Clearance

Ok – I should have been kept in the loop on this as OPA gives final clearance, and as far as I know the only folks who cleared were OCSO and Ethics - OGC, OPA, and I/A did not. Was anything published or was the book shelved?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)

www.DHS.gov

From: Abbott, Catherine
Sent: Tuesday, November 05, 2013 3:14 PM
To: Lacivita, Brendan
Subject: RE: Book Clearance

Dan got calls directly from the ethics lawyer and someone else – can't remember who – and they came to agreements on the issues that were in question. Thanks for checking. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Tuesday, November 05, 2013 3:06 PM
To: Abbott, Catherine
Subject: RE: Book Clearance

Cathy – while cleaning out some old files I came across this book review for Dan from last December, and according to my tracking sheet it never was given final clearance. Have you heard from anyone about it?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Friday, December 14, 2012 9:05 AM
To: Lacivita, Brendan
Subject: RE: Book Clearance

Thank you!

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate

W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Friday, December 14, 2012 9:05 AM
To: Abbott, Catherine
Subject: RE: Book Clearance

Ok great – just waiting for the others to clear

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Abbott, Catherine
Sent: Friday, December 14, 2012 7:53 AM
To: Lacivita, Brendan; Young, John; (b)(7) (b)(7)(C) Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: (b)(6) (b)(6) L.; Ackiss, Scott
Subject: RE: Book Clearance

Brendan,

Just spoke to John Young and addressed his concerns. Thank you both! Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Thursday, December 13, 2012 9:53 AM
To: Young, John; (b)(7) (b)(7)(C) Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: Abbott, Catherine; (b)(6) (b)(6) L.; Ackiss, Scott
Subject: RE: Book Clearance

John – thank you for your comments. I spoke with Cathy in S/T, and she's working with her staff to provide responses to your concerns.

Regards,
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Young, John
Sent: Wednesday, December 12, 2012 1:35 PM
To: Lacivita, Brendan; (b)(7) (b)(7)(C) Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: Abbott, Catherine; (b)(6) (b)(6) L.; Ackiss, Scott
Subject: RE: Book Clearance

Brendan,

(b)(5)

John

John J. Young
Chief, Administrative Security Division
DHS Office of the Chief Security Officer
(b)(6) (b)(6)
(b)(6) (b)(6) (Cell)
(b)(6)

If you have any comments, concerns, suggestions, or kudos about any OCSO individual, process or program click here [OCSO Suggestion e-Box](#) and let us know.

From: Lacivita, Brendan
Sent: Tuesday, December 11, 2012 3:41 PM
To: (b)(7) (b)(7)(C) Young, John; Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: Abbott, Catherine; (b)(6)
Subject: FW: Book Clearance

Hi folks – attached for your review is a draft manuscript on national security and arms control for a book written by a current S/T employee. The author wrote the manuscript in his personal capacity and used open-source information. The manuscript has cleared both his supervisor and the program office.

It's my hope we can get the manuscript approved within the next 30-days. Feel free to forward to any DHS colleagues I may have inadvertently left off this email.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Friday, December 07, 2012 5:16 PM
To: Lacivita, Brendan
Cc: Gerstein, Daniel
Subject: Book Clearance

Brendan,
Attached please find Dan Gerstein's book for clearance. If you'll recall, I sent this to you several months ago and you told me it needed to be reviewed by (b)(6). Tara has reviewed it and has no objections to it. Dan did not work on this project during duty hours. Let me know if you need anything else. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Epstein, Gerald
To: Lacivita, Brendan
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Date: Wednesday, November 6, 2013 1:19:01 AM

Brendan, I have reviewed the chapter and there is nothing that warrants concern with respect to security or any inappropriate implication of DHS endorsement (even if Dr. Gerstein had not included a disclaimer).

Jerry Epstein

From: Lacivita, Brendan
Sent: Friday, October 25, 2013 11:14 AM
To: Epstein, Gerald
Subject: FW: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Jerry – please see email below. John Young in OCSO suggested I forward this book article to you for review.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
[(b)(6)] [(b)(6)]
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, October 24, 2013 2:49 PM
To: Waters, Mike; Lott, Thomas; Young, John; [(b)(7)] [(b)(7)(C)]; Boucher, Leo
Subject: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Gentlemen - attached for your review is an unclassified draft chapter for a book in which Dan Gerstein from S/T contributed. Dan's contributions were done in a **personal** capacity so please review from the appropriate context. Further details about the book are provided in the email thread below.

Mike - S/T would like to know if it's OK for Dan to use his DHS title in the author credits.

Thanks -
Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
[redacted] [redacted]
www.DHS.gov

-----Original Message-----

From: Abbott, Catherine
Sent: Friday, October 18, 2013 9:48 AM
To: Lacivita, Brendan
Subject: FW: Dan's Textbook Chapter (UNCLASSIFIED)

Brendan,

I had sent this over before the shut down, but I'm afraid it might have gotten lost in the shuffle.
Will you please give me a call when you have a moment. Thanks. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications DHS Science and Technology Directorate
W: [redacted] BB [redacted]

-----Original Message-----

From: [redacted]
Sent: Thursday, September 26, 2013 11:25 AM
To: Abbott, Catherine
Subject: Dan's Textbook Chapter (UNCLASSIFIED)

Hey Cathy,

From an OPA perspective, can you take a look at the attached and let me know if there is any issue with Dan listing his DHS S&T title as the one of the authors for Chapter 1 of the book titled Medical Aspects of Biological Warfare 2nd Edition (or any issues period). Chapter 1 is attached.

I have filled out the attached pages 15-20 in the PDF AU Guide for Dan and just need his signature before he submits the attached paperwork, but want to be sure we have all the correct information and are following protocol.

Many thanks!

[redacted]

Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department

of Homeland Security

(b)(6)

(b)(6)

-----Original Message-----

From: Gerstein, Daniel
Sent: Wednesday, September 25, 2013 7:29 AM
To: (b)(6)
Subject: FW: Textbook Chapter (UNCLASSIFIED)

Dan

Daniel M. Gerstein, PhD
Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528
W: (b)(6)
C: (b)(6)

-----Original Message-----

From: (b)(6) USARMY DOD JPEOCBD (US)
(b)(7)(C)
Sent: Tuesday, September 24, 2013 1:39 PM
To: Gerstein, Daniel
Subject: RE: Textbook Chapter (UNCLASSIFIED)

Classification: UNCLASSIFIED

Caveats: NONE

Dan,

Attached is the current draft that's going to JPEO for security review/clearance. Please return pdf file of completed/signed author's forms (p 15-20 of attached document). Thank you again for your key contributions!

V/R,

(b)(6)

(b)(7)(C)

Chief Medical Officer

Medical Countermeasure Systems (MCS)

(b)(6)

Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: (b)(7)(C) (b)(6) CIV USARMY DOD JPEOCBD (US)

Sent: Tuesday, September 17, 2013 10:29 AM

To: 'Gerstein, Daniel'

Subject: RE: Textbook Chapter

Dan,

At this juncture, we're good to go. Thank you for your offer-let's wait until after the coordinations and reviews are done. I sincerely appreciate your participation.

Best regards,

(b)(6)

-----Original Message-----

From: Gerstein, Daniel (b)(6)

Sent: Tuesday, September 17, 2013 10:21 AM

To: (b)(6) msn.com'

Subject: Re: Textbook Chapter

So do you need any more from me in terms of writing?

----- Original Message -----

From: (b)(6) (b)(6) CIV USARMY DOD JPEOCBD (US)

(b)(7)(C)

Sent: Tuesday, September 17, 2013 10:07 AM

To: Daniel Gerstein (b)(6) (b)(6);(b)(7)(C)

Cc: Gerstein, Daniel
Subject: RE: Textbook Chapter

Dan,

(b)(6) has sent in his additions, and (b)(6) has promised his additions by the end of this week-I've been through the draft again, made some changes, and am very pleased by how it reads. I think that the issues raised by biotechnology are addressed in the discussion of DURC.

Will send out the final draft to you, (b)(6) (b) early next week, and to MCS and JPEO for coordination and security review; after that it will go to USAMRIID for two external reviews and final edits...there will be opportunities for further revision.

Thank you for participating! The inclusion of info on US program, BWC and policy items has vastly improved the quality of this chapter.

Best regards,

(b)(6)

(b)(7)(C) MD

Chief Medical Officer
Medical Countermeasure Systems (MCS)

(b)(6)

Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein [mailto:(b)(6)] (b)(6)
Sent: Monday, September 02, 2013 7:29 AM
To: (b)(7)(C) CIV USARMY DOD JPEOCBD (US); Dan Gerstlein
Subject: Textbook Chapter

(b)(6)

See attached ...

Feels like we need to look at pulling out some of the detail. Also, not sure that the stuff at the end has been edited.

Are there others areas you want me to look at? I did not add anything on biotechnology although I was tempted.

Dan

Dr. Daniel M. Gerstein

From: [Lacivita, Brendan](#)
To: [Boucher, Leo](#)
Subject: RE: Book Review
Date: Wednesday, February 6, 2013 4:30:00 PM

Ok great – thanks Chip

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Wednesday, February 06, 2013 4:29 PM
To: Lacivita, Brendan
Subject: RE: Book Review

Will be in distro tomorrow.

From: Lacivita, Brendan
Sent: Wednesday, February 06, 2013 11:53 AM
To: Boucher, Leo
Subject: RE: Book Review

(b)(6)

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Wednesday, February 06, 2013 11:16 AM
To: Lacivita, Brendan
Subject: RE: Book Review

Yes, I believe so. I'd need a bldg./room #.

From: Lacivita, Brendan
Sent: Wednesday, February 06, 2013 11:12 AM
To: Boucher, Leo

Subject: RE: Book Review

Chip – do you have interagency mail pickup?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Wednesday, February 06, 2013 11:09 AM
To: Lacivita, Brendan
Subject: RE: Book Review

Do you happen to know where that is? I've got no secty, so will have to deliver myself....

From: Lacivita, Brendan
Sent: Wednesday, February 06, 2013 10:36 AM
To: Boucher, Leo
Subject: RE: Book Review

Hi Chip – you can forward to (b)(6) in the Privacy office.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Monday, February 04, 2013 12:14 PM
To: Lacivita, Brendan
Subject: Book Review

Hi Brendan
Ethics brought up a hard copy book to review as part of the prepub review. It's (b)(6)
manuscript "A Practical Handbook for Analytics."

It says the next review is by "Privacy". Who and where?? I'd like to get it to them.

Thx

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6); Cell: (b)(6) (b)(6)

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From: Lacivita, Brendan
To: [REDACTED] Jensen, Robert
Cc: [REDACTED]
Subject: RE: Classified Textbook Manuscript
Date: Tuesday, February 11, 2014 4:20:00 PM

Thank you [REDACTED]

Tomorrow I'll figure out who exactly at CIA it needs to go to, and will work with [REDACTED] to get that process going.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
[REDACTED] [REDACTED]
www.DHS.gov

From: [REDACTED] [REDACTED] L.
Sent: Tuesday, February 11, 2014 4:18 PM
To: Lacivita, Brendan; Jensen, Robert
Cc: [REDACTED] [REDACTED] [REDACTED] L.
Subject: RE: Classified Textbook Manuscript
Importance: High

Document has been stored in the NJIC safe.

[REDACTED]
Senior Adviser
Office of Public Affairs
U.S. Department of Homeland Security
Washington, D.C. 20528
[REDACTED]
[REDACTED] (fax)
[REDACTED] (cell)
[REDACTED]

From: Lacivita, Brendan
Sent: Tuesday, February 11, 2014 3:30 PM
To: Jensen, Robert
Cc: [REDACTED] [REDACTED] [REDACTED] L.
Subject: RE: Classified Textbook Manuscript

Ok – I'll prepare a clearance memo with your guidance below and give the manuscript back to Tammy.

I have clearance emails printed and in a folder in my office.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
[redacted] [redacted]
www.DHS.gov

From: Jensen, Robert
Sent: Tuesday, February 11, 2014 2:49 PM
To: Lacivita, Brendan
Cc: [redacted] [redacted] [redacted] L.
Subject: RE: Classified Textbook Manuscript

1. Please be sure to keep the email or other documentation – the actual clearance by I&A, NPPD and OGC. Want to ensure that is available should there be follow on. And where do you keep that? Is there a shared drive folder or do you print out or store using another method? Would like you to have a process for this that is accessible by others should you be out.

(b)(5)

With these actions by the author, DHS OPA clears.

Bob J.

From: Lacivita, Brendan
Sent: Tuesday, February 11, 2014 1:35 PM
To: Jensen, Robert
Cc: [redacted] [redacted] L.
Subject: Classified Textbook Manuscript

Bob – I have a **classified** textbook manuscript titled “Homeland Security Intelligence,” written by a former DHS contractor and CIA employee, for your review. The manuscript has been reviewed and cleared by I/A, OCSO, NPPD/CS/C, NPPD/IP, and OGC/IA. The author teaches intelligence at SUNY Albany and the manuscript is designed as a textbook for graduate students and for government

training courses. The manuscript has been under DHS review since late May.

The manuscript is locked in the NJIC safe. (b)(6) has access and can get it to you when you're ready.

Thanks –

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

ACTION

February 12, 2014

MEMORANDUM FOR: Central Intelligence Agency Publication Review Board

FROM: Brendan LaCivita (b)(6)
Deputy Director of Strategic Communications
Office of Public Affairs
Department of Homeland Security

SUBJECT: Pre-Publication Review of (b)(6) Manuscript,
“Homeland Security Intelligence”

Action

(b)(5)

For questions please contact (b)(6) or telephone (b)(6) (b)(6)

From: Lacivita, Brendan
To: (b)(6)
Subject: RE: Book contract-writing in a personal capacity:4262
Date: Wednesday, February 20, 2013 10:47:00 AM

Ok – I'll destroy it.

My email below should suffice.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Wednesday, February 20, 2013 9:20 AM
To: Lacivita, Brendan
Subject: Re: Book contract-writing in a personal capacity:4262

Thanks Brendan. Please just shred or burn bag the manuscript. Is it possible for you to send an official letter stating that the manuscript has been cleared?

(b)(6)

Sent from my iPhone

On Feb 19, 2013, at 12:37 PM, "Lacivita, Brendan" <(b)(6) (b)(6)> wrote:

Hi (b)(6) - we've cleared the manuscript without comment.

Would you like back your copy?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Wednesday, January 30, 2013 1:16 PM
To: Lacivita, Brendan

Subject: Fwd: Book contract-writing in a personal capacity:4262

Hi Brendan, here is my earlier correspondence with the ethics office.

Thanks,

(b)(6);(b)(7)

Sent from my iPhone

Begin forwarded message:

From: (b)(6);(b)(7)(C) (b)(6)
Date: July 5, 2012, 10:40:08 AM EDT
To: (b)(6) (b)(6);(b)(7)(C)
Subject: FW: Book contract-writing in a personal capacity:4262

(b)(6);(b)(7)(C)

Chief Scientist

Risk and Capability Analytics, Risk and Decision Analysis Directorate
Office of Policy/Strategy, Planning, Analysis and Risk (SPAR)
U.S. Department of Homeland Security

Desk: (b)(6)

Mobile: (b)(6)

(b)(6)

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From: Bennett, Steve

Sent: Tuesday, January 31, 2012 11:24 AM

To: (b)(6) (b)(6)

Subject: RE: Book contract-writing in a personal capacity:4262

(b)(6)

(b)(6) it looks like Maureen is kicking the decision to me, your supervisor.

Consider this written approval to proceed, as long as the writing continues to be on your own time and with your own resources.

Thanks,

--Steve

Steve Bennett, Ph.D.

**Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security**

 (b)(6) (o)
 (b)(6) (m)
 (b)(6) (f)
 (b)(6) (ste)

email: (b)(6) (b)(6)
HSDN/S

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From: Gilmore, Maureen
Sent: Tuesday, January 31, 2012 11:14 AM
To: (b)(6)
Cc: Bennett, Steve
Subject: Book contract-writing in a personal capacity:4262

Sir:

From the ethics perspective, there is no objection to you writing and receiving compensation for a textbook in which mathematics and statistics are the primary topics subject to the conditions discussed below.

The final approval for your writing should be coordinated with your first line supervisor in finding that the writing does not conflict with your duties.

One of the ethics concerns is whether an employee is compensated for writing outside his official duties. Generally, a government employee shall not receive compensation from any source other than the government for writing that relates to the employee's official duties. See 5 C.F.R. § 2635.807(a). Writing relates to the employee's official duties if the subject deals in significant part with:

- a) any matter to which the employee presently is assigned or to which the employee had been assigned during the previous one-year period [of government employment]; or
- b) any ongoing or announced policy, program or operation of the agency.

Government employees may not engage in an outside activity that conflicts with official duties. An activity conflicts with official duties if:

- a) If it is prohibited by statute or by an agency supplemental regulation; or

b) If, under the standards set forth in §§2635.402 and 2635.502, it would require the employee's disqualification from matters so central or critical to the performance of his official duties that the employee's ability to perform the duties of his position would be materially impaired.

From the information that you provided about the book and about your official duties at DHS, it does not appear that the subject of the book relates to your official duties. Rather, the book topic is within the discipline of your previous career and education, that of a research scientist and analyst, before you joined the federal government. You advised that you began writing the book before beginning employment with the Federal government, and the book has no homeland security content, no mention of terrorism, natural disasters, or anything of the like.

Although it does not appear to me that there is a conflict in your circumstance, it is helpful if your supervisor assists in the determination whether your writing conflicts with your duties. You previously conferred with your supervisor about your writing, and he determined that your writing does not conflict with your DHS duties. I have copied him on this email to factor in the matters I discussed above in his final determination whether to find no objection to this outside writing activity.

Generally, a Government employee who is engaged in an outside activity shall not use or permit the use of his official title or position to identify him in connection with his activity. See 5 C.F.R. § 2635.807(b). However, an employee may permit the inclusion of his title or position as one of several biographical details when such information is given to identify him in connection with his writing, provided that his title or position is given no more prominence than other significant biographical details.

Further, employees may not use government equipment in the performance of their outside activities. 5 C.F.R. § 2635.704. Also, employees may not use official government time for outside activities. 5 C.F.R. § 2635.705. You and your supervisor have advised that you have used no government resources or time in the writing of this book.

Finally, if this outside activity requires your presence during the normal government duty day, you may request annual leave. Department supervisors are not obligated to honor the request for leave, however, and may deny the request based on DHS mission necessities.

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security

Phone: (b)(6)

Fax: (b)(6)

(b)(6)

Mailing address:

OGC Stop 0485
 Department of Homeland Security
 245 Murray Ln
 Washington, DC 20528-0485

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From: Bennett, Steve**Sent:** Friday, January 27, 2012 2:22 PM**To:** Gilmore, Maureen; (b)(6)**Cc:** Heller, Susan**Subject:** RE: question about book contract-writing in a personal capacity:4262

(b)(6)

As (b)(6) supervisor, I have already seen the outline, and have in fact reviewed some of the chapters. I can affirm that (b)(6) has done this on his own time with his own resources, and can additionally affirm that this work does not fall under 5 C.F.R. §2535.807.

--Steve**Steve Bennett, Ph.D.**

Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security

(b)(6) (o)
 (b)(6) (m)
 (b)(6) (f)
 (b)(6) (ste)

email: (b)(6) (b)(6)
 HSDN/SI

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From: Gilmore, Maureen
Sent: Friday, January 27, 2012 2:16 PM
To: [redacted]
Cc: Bennett, Steve; Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

Thanks. I should be able to get to this review early next week.

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security
Phone: [redacted]
Fax: [redacted]
[redacted]
[redacted]

Mailing address:
OGC Stop 0485
Department of Homeland Security
245 Murray Ln
Washington, DC 20528-0485

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From: [redacted] [redacted]
Sent: Friday, January 27, 2012 9:53 AM
To: Gilmore, Maureen
Cc: Bennett, Steve; Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

That's correct, I am a career employee. I've attached a copy of my position description.

The textbook is a mathematics and statistics book; it's within the discipline of my previous career and education before I joined the federal government, that of a research scientist and analyst. In fact, I began writing the book before I started working for the Federal government. The book will have no homeland security content, no mention of terrorism, natural disasters, or anything of the like. It's different than some of the other things we've published at RMA, because those works

are related to current DHS programs (like cargo screening or risk assessments). Of course, we do not accept (nor are we offered) compensation for that type of writing.

I've attached a copy of the book's table of contents, as you requested.

Please let me know what other information will aid in your review,
 (b)(6);(b)
 (7)(C)

(b)(6);(b)(7)(C)
 Chief Scientist
 Office of Risk Management and Analysis (RMA)
 National Protection and Programs Directorate (NPPD)
 U.S. Department of Homeland Security
 Desk: (b)(6)
 Mobile: (b)(6)
 (b)(6)

~~Warning: This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information such as found under 49 CFR 1520 or the Privacy Act of 1974. It should not be communicated to any person, or agency, unless disclosure is in performance of official DHS duties and there exists a valid need to know. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender and delete this message.~~

From: Gilmore, Maureen
Sent: Friday, January 27, 2012 8:26 AM
To: (b)(6)
Cc: Bennett, Steve; Heller, Susan
Subject: question about book contract-writing in a personal capacity:4262

Sir:

I am assuming that you are a career employee. If not, please advise.

Ethics and security are often the most obvious offices with which you will need to coordinate. However, in my past experience with RMA authors, the writing may be about programs that might have an S&T or NPPD or another component's interest, so you might have to coordinate with someone on those staffs also. Much of what you are asking is fact specific about your particular writing, so it may take a few emails to get all the salient facts to ensure complete review.

The key for the ethics review is that you will be receiving payment for writing and your writing may not conflict with your official DHS duties as the regulations provide. So, please provide me with a copy of your DHS position description and an outline of what you will be writing about.